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# The reporting of adverse events associated with spinal manipulation in randomized clinical trials: an updated systematic review

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## THE REPORTING OF ADVERSE EVENTS ASSOCIATED WITH SPINAL MANIPULATION IN RANDOMIZED CLINICAL TRIALS: AN UPDATED SYSTEMATIC REVIEW

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## ABSTRACT

#### **Objectives**

To describe if there has been a change in the reporting of adverse events associated with spinal manipulation in randomized controlled trials (RCTs) since 2016.

#### Design

Systematic literature review.

#### **Data sources**

Databases were searched from March 2016 to May 2022: MEDLINE (Ovid), Embase, CINAHL, ICL, PEDro and Cochrane Library. The following search terms and their derivatives were adapted for each platform: *spinal manipulation; chiropractic; osteopathy; physiotherapy; naprapathy; medical manipulation; clinical trial.* 

#### Methods

Domains of interest (pertaining to adverse events) included: completeness and location of reporting; nomenclature and description; spinal location and practitioner delivering manipulation; methodological quality of the studies; and details of the publishing journal. Frequencies and proportions of studies reporting on each of these domains were calculated. Univariable and multivariable logistic regression models were fitted to examine the effect of potential predictors on the likelihood of studies reporting on adverse events.

#### Results

There were 5,399 records identified by the electronic searches, of which 154 (2.9%) were included in the analysis. Of these, ninety-four (61.0%) reported on adverse events with only 23.4% providing an explicit description of what constituted an adverse event. Reporting of adverse events in the abstract has increased (n= 29, 30.9%) while reporting in the results section has decreased (n= 83, 88.3%) over the past 6 years. Spinal manipulation was delivered to 7,518 participants in the included studies. No serious adverse events were reported in any of these studies.

## Conclusions

 While the current level of reporting of adverse events associated with spinal manipulation in RCTs has increased, the level is still unacceptable. Despite some improvement since our 2016 publication on the same topic, it is imperative for authors, journal editors and administrators of clinical trial registries to ensure there is adequate reporting of both benefits and harms of spinal manipulation in RCTs.

## ARTICLE SUMMARY

## Strengths and limitations of this review

- This systematic review was conducted following the Preferred Reporting Items for Systematic Reviews and Meta-Analysis guidelines (1)
- The current evidence on the reporting of adverse events associated with spinal manipulation across multiple professions is described
- Interestingly, there might be differences in the reporting of adverse events in RCTs depending on the type of practitioner delivering the intervention
- The inclusion of studies reporting on adverse events in all spinal regions allows for a more complete representation of adverse events that are associated with spinal manipulation
- The identification of factors which are related to the reporting of adverse events associated with spinal manipulation may bring awareness to researchers, journal editors and administrators of clinical trial registries regarding studies that are less likely to report such events

## PROTOCOL

https://www.crd.york.ac.uk/prospero/display\_record.php?RecordID=270543

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## **CONFLICT OF INTEREST**

The authors declare no conflicts of interest.

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## **KEYWORDS**

Adverse events; Harms; Literature review; Manipulation, spinal; Randomized controlled trials; Spinal

manipulative therapy.

rature review; Manipula.

#### **INTRODUCTION**

The use of high-velocity, low-amplitude spinal manipulation to treat spinal pain and dysfunction is recommended in clinical and best practice guidelines (1–4) and is commonly used by several healthcare professions (5–7). Despite this, concerns remain surrounding adverse events following the intervention (8,9). Adverse events associated with spinal manipulation are typically benign, transient, and do not require further treatment (10). Indeed, some authors classify increased muscle soreness or stiffness in the treatment area as an 'expected outcome of treatment' rather than an adverse event (11). At the other end of the spectrum, catastrophic events, such as vertebral artery dissection, have been temporally associated with spinal manipulation (12). However, such events are rare, and as a result, are typically reported in individual case reports or case series with little to no information regarding the intervention that was delivered (13).

Randomized clinical trials (RCTs) are the gold standard study design for measuring effectiveness (benefit/s) of interventions for the treatment of spinal pain and dysfunction. However, as the risks of an intervention are also important to both patients and practitioners, RCTs should report on not only the efficacy of spinal manipulation, but also any adverse events associated with the intervention. The Consolidated Standards of Reporting Trials (CONSORT) statement, first published in 1996 with several updates since, provides the scientific community (specifically researchers and journal editors) with a scaffold to standardize and improve the quality of RCT reporting (14–16). The CONSORT statement acknowledges the importance of reporting adverse events alongside effectiveness data. The 2004 Harms extension document (17) provides specific recommendations for how and where this data should be included in scientific manuscripts. While there has been improvement in the reporting of adverse events since the publication of the 2004 extension, reporting remains insufficient (18), especially for RCTs that involve spinal manipulation (10). Thus, the objective of this review was to describe if there has been a change in the reporting of adverse events associated with spinal manipulation in randomized controlled trials (RCTs) since 2016.

### **METHODOLOGY**

This systematic literature review was conducted following the Preferred Reporting Items for Systematic Reviews and Meta-Analysis guidelines (19).

#### Definitions

Spinal manipulation was defined as a manual procedure involving a high-velocity, low-amplitude (HVLA) thrust delivered to a spinal joint with the intention of moving the joint past its physiological range of motion but without exceeding the anatomic limit (20). For the purposes of this review, spinal manipulation delivered using drop-piece-table and mechanical implements were considered HVLA procedures (21).

An adverse event was defined as any unfavourable reaction with a temporal association to spinal manipulation that resulted in an alteration in a participant's activities of daily living (22,23), irrespective of the timing of onset, duration, or severity of the event (24).

To be classified as reporting on adverse events "directly", a study must have provided explicit description of their operational definition of an adverse event (e.g. "In the current study, an adverse event was defined as a sequelae of 1-week duration with any symptom perceived as distressing and unacceptable to the patient that required further treatment [63]." (25)), and/or how data on adverse events were measured (e.g. "Active and passive surveillance methods were used to collect information on adverse events." (26)), and/or provide a substantial description of adverse events observed during data collection (27,28). In contrast, all other studies reporting on adverse events "indirectly" did not explicitly provide such information.

#### Patient and public involvement

No patients were involved in this systematic literature review.

#### **Ethics** approval

Ethics approval was not required for this systematic literature review.

#### **Eligibility criteria**

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Consistent with the 2016 review, RCTs reporting original data on spinal manipulation as either the sole intervention, or as the sole intervention in a comparator group, delivered by any regulated health professional, and published in English, were eligible for inclusion. Studies reporting on reviews, other trial designs, trial registrations, protocols, commentaries, editorials and conference proceedings were excluded. Further exclusion criteria included retracted articles, secondary analyses, studies in which the full text was not available in English, and studies where manipulation was only applied to an area other than the spine. Studies were also excluded if it was unclear if the intervention being delivered involved a HVLA thrust.

#### Search strategy

The following databases were searched from 1 March 2016 to 12 May 2022: MEDLINE (Ovid), Embase, CINAHL, ICL, PEDro and Cochrane Library. Reference lists of included studies were screened to insure all relevant literature was captured. The following search terms and derivatives were adapted for each platform: *spinal manipulation; chiropractic; osteopathy; physiotherapy; naprapathy; medical manipulation; clinical trial.* An example of the search strategy used in MEDLINE (Ovid) is provided in Appendix 1.

#### **Study selection process**

Records retrieved from the electronic searches were exported to the Rayyan online platform (29). Duplicate records were removed before title and abstract screening. Two authors (LG and BB) independently screened included studies in a step-wise process, beginning with review of each title and abstract. Full-texts of the studies remaining after this step were retrieved and further screened against the eligibility criteria (LG and RE). Any disagreements regarding inclusion were resolved by consensus and if consensus could not be reached, disagreements were resolved by a third author (BB).

#### **Data extraction**

Adverse events reporting data were extracted from the remaining studies by two authors (LG and RL). This data included descriptive information [i.e., title, author, year of publication, country where the data was collected, journal of publication, spinal region treated (e.g., cervical spine), type of practitioner delivering the spinal manipulation (e.g., chiropractor)], whether the study reported on

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adverse events (i.e., reported/not and if reported; directly/indirectly), location of reporting within the article, classification of adverse events reported (e.g. mild, moderate, serious, severe etc), completeness of adverse events reporting (i.e., onset, duration, and number of events reported), number of participants in the spinal manipulation group/s, and descriptions of any definitions and/or classification systems used. Other data collated by the lead author (LG) included whether the study was published in a journal that follows the International Committee of Medical Journal Editors (ICMJE) guidelines via a search of the ICMJE website (30) on 29 May 2022. Additionally, the most recently published impact factor (year 2020) for each journal was manually extracted by the lead author (LG) from the Clarivate Journal Citations Reports website (31) on 29 May 2022. Assessment of risk of bias using the Cochrane ROB 2 assessment tool (32) was performed by three authors working in pairs (LG and RE, LG and BB) for all included studies to assess the methodological quality of the publication. Disagreements were resolved by consensus and if consensus could not be reached, disagreements were resolved by a third author (RL).

#### Data analysis

Data were analysed using descriptive statistics. Frequencies and proportions of studies reporting on each of the specified domains above were calculated in Microsoft Excel (Version 2102, Microsoft Corporation, USA). Continuous variables with highly skewed distributions (i.e., journal impact factor and sample size of spinal manipulation group) were categorised into tertiles. Univariable and multivariable logistic regression models were fitted to examine the effect of potential predictors on the likelihood of studies reporting on adverse events. The multivariable logistic regression model was fitted using backward elimination, whereby the least significant potential predictors were sequentially eliminated from the multivariable model until only significant predictors remained. The observed effects from the univariable and multivariable logistic regression models were reported as odds ratios (OR) and adjusted odds ratios (aOR), respectively, with 95% confidence intervals (CI). All statistical analyses were performed using the statistical computing software R version 4.0.3 (The R Foundation for Statistical Computing, Vienna, Austria).

#### **RESULTS**

There were 5,399 records initially identified by the electronic searches (Figure 1). A total of 3,363 unique records remained after de-duplication (n=2,034) and the removal of records that had been withdrawn by the authors (n=2). After title and abstract screening, full texts of the 452 remaining studies were screened. Of these, 154 fulfilled the eligibility criteria and were included in the analysis (see Appendix 2). The most common reasons for exclusion were: the intervention did not consist of HVLA spinal manipulation (n=163) and/or the study related to a conference proceeding (n=49). *Insert around here:* Figure 1: PRISMA flow-chart

#### **Comprehensiveness of reporting of adverse events**

Of the 154 included studies, 94 (61.0%) reported on adverse events. Of these 94 studies, 36 (38.3%) reported on adverse events directly. Indirect reporting occurred in 58 studies (61.7%). A description of what constituted an adverse event definition and/or the classification system used was provided in 22 studies (23.4%). However, most studies did not provide a description and instead used terms such as "adverse event" (n=70, 74.5%), "adverse effect" (n=22, 23.4%), "side effect" (n=19, 20.2%) and "harm" (n=11, 11.7%) without adequate explanation. When mentioned, terms pertaining to classification systems (predominantly severity) were (number of studies in which the term was used, %): "mild" (n=20, 21.3%), "moderate" (n=17, 18.1%), "serious" (n=27, 28.7%), and "severe" (n=14, 14.9%). The onset of an adverse event/s was unclear in 30 (31.9%) studies. Duration of adverse events were reported heterogeneously, with some studies providing a time from baseline or intervention, whereas others provided a temporal descriptor such as "short-term", "temporary" or, "transient". Of the 9 studies providing times, durations were as follows: <72hr (n=3, 3.2%), >72hr (n=2, 2.1%) or mixed duration (n=4, 4.3%). An evaluation tool was mentioned in 26 (27.7%) studies.

#### Number and location of adverse events reporting

No serious adverse events were reported in any of the 154 included studies, representing 7,518 participants who received spinal manipulation. Furthermore, of the 94 studies reporting on adverse events, 63 (67.0%) reported that no adverse events occurred. Adverse events were reported in the abstract of 29 (30.9%) and results section of 83 (88.3%) studies. Furthermore, adverse events were

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mentioned in several locations throughout the included studies: the introduction (n=15, 16.0%), methods (n=56, 59.6%), discussion (n=30, 31.9%), conclusion (n=7, 7.4%), and supplementary materials (n=1, 1.1%).

#### Descriptors of studies reporting on adverse events

Descriptive statistics are provided in Table 1. Of the 94 studies reporting on adverse events, 55 (58.5%) were rated at a 'high risk of bias', 29 (30.9%) as 'some concerns' and 10 (10.6%) at a 'low risk of bias' (Appendix 3). Additionally, 33 (35.1%) were published in journals stating that they follow the ICMJE recommendations. For the remaining studies, the median of the most recently published (2020) impact factor was 2.5 (IQR: 2.1–4.2).

*Insert around here:* Table 1: Characteristics of included studies by reporting on adverse events

|                      |                            | Overall<br>(n=154), n (%) | Reports on AE<br>(n=94), n (%) | Does not report<br>on AE<br>(n=60), n (%) |
|----------------------|----------------------------|---------------------------|--------------------------------|---|
| ICMJE<br>journal     | Published in ICJME journal | 53 (34.4)                 | 33 (35.1)                      | 20 (33.3)                                 |
| -                    | Low risk                   | 13 (8.4)                  | 10 (10.6)                      | 3 (5.0)                                   |
| Risk of bias         | Some concerns              | 47 (30.5)                 | 29 (30.9)                      | 18 (30.0)                                 |
|                      | High risk                  | 94 (61.0)                 | 55 (58.5)                      | 39 (65.0)                                 |
|                      | Upper tertile              | 47 (30.5)                 | 36 (38.3)                      | 11 (18.3)                                 |
| Impact factor        | Middle tertile             | 54 (35.1)                 | 37 (39.4)                      | 17 (28.3)                                 |
|                      | Lower tertile              | 53 (34.4)                 | 21 (22.3)                      | 32 (53.3)                                 |
|                      | Cervical                   | 24 (15.6)                 | 17 (18.1)                      | 7 (11.7)                                  |
| Spinal region        | Thoracic                   | 33 (21.4)                 | 15 (16.0)                      | 18 (30.0)                                 |
| Spinal region        | Lumbopelvic                | 28 (18.2)                 | 13 (13.8)                      | 15 (25.0)                                 |
|                      | Mixed/Unclear              | 69 (44.8)                 | 49 (52.1)                      | 20 (33.3)                                 |
|                      | Chiropractor               | 36 (23.4)                 | 29 (30.9)                      | 7 (11.7)                                  |
| Tyme of              | Osteopath                  | 15 (9.7)                  | 6 (6.4)                        | 9 (15.0)                                  |
| Type of practitioner | Physiotherapist            | 63 (40.9)                 | 35 (37.2)                      | 28 (46.7)                                 |
| practitioner         | Medical Practitioner       | 9 (5.8)                   | 4 (4.3)                        | 5 (8.3)                                   |
|                      | Mixed/Other/Unclear        | 31 (20.1)                 | 20 (21.2)                      | 11 (18.3)                                 |
| Sample size          | Upper tertile              | 51 (33.3)                 | 40 (42.6)                      | 11 (18.6)                                 |
| spinal               | Middle tertile             | 50 (32.7)                 | 28 (29.8)                      | 22 (37.3)                                 |
| manipulation         | Lower tertile              | 52 (34.0)                 | 26 (27.7)                      | 26 (44.1)                                 |
| group <sup>1</sup>   |                            |                           |                                |   |

<sup>1</sup> One study with unclear sample size excluded

AE; adverse event

#### Predictors for the reporting of adverse events

There was very strong evidence that studies with an impact factor in the upper (aOR: 5.72 [95% CI: 2.23-15.85]; p < 0.001) and middle (aOR: 3.52 [95% CI: 1.51-8.57]; p = 0.004) tertiles were more likely to report on adverse events than those in the lower tertile when the model was adjusted for risk of bias, impact factor, spinal region of manipulation, and number of participants receiving spinal manipulation (Table 2). There was also strong evidence that studies in which a chiropractor delivered the spinal manipulation were more likely to report on adverse events (aOR: 4.58 [95% CI: 1.14-20.24]; p = 0.036). Studies in which spinal manipulation was delivered to more than one region or, it was unclear which regions the manipulations were delivered, were also more likely to report on adverse events (aOR: 3.18 [95% CI: 1.16-9.05]; p = 0.027). While not achieving statistical significance, another factor of note included studies in which cervical spine manipulation was delivered (aOR: 3.04 [95% CI: 0.88-11.30]; p = 0.085).

| Variable                        | OR   | 95%CI      | p-value | aOR <sup>1</sup> | 95%CI      | p-value |
|---------------------------------|------|------------|---------|------------------|------------|---------|
| ICMJE journal                   |      |            |         |                  |            |         |
| Yes                             | 1.08 | 0.55-2.16  | 0.821   | -                | -          | -       |
| No <sup>2</sup>                 | -    | -          | -       | -                | -          | -       |
| Risk of bias                    |      |            |         |                  |            |         |
| Low risk                        | 2.36 | 0.67-11.01 | 0.213   | -                | -          | -       |
| Some concerns                   | 1.14 | 0.56-2.37  | 0.716   | -                | -          | -       |
| High risk <sup>2</sup>          | -    | -          | -       | -                | -          | -       |
| Impact factor                   |      |            |         |                  |            |         |
| Upper tertile                   | 4.99 | 2.14-12.32 | < 0.001 | 5.72             | 2.23-15.85 | < 0.001 |
| Middle tertile                  | 3.32 | 1.52-7.48  | 0.003   | 3.52             | 1.51-8.57  | 0.004   |
| Lower tertile <sup>2</sup>      | -    | -          | -       | -                | -          | -       |
| Spinal region                   |      |            |         |                  |            |         |
| Cervical                        | 2.80 | 0.91-9.27  | 0.080   | 3.04             | 0.88-11.30 | 0.085   |
| Thoracic                        | 0.96 | 0.35-2.66  | 0.939   | 1.09             | 0.34-3.45  | 0.887   |
| Lumbopelvic <sup>2</sup>        | -    | -          | -       | -                | -          | -       |
| Mixed/Unclear                   | 2.83 | 1.15-7.11  | 0.025   | 3.18             | 1.16-9.05  | 0.027   |
| Type of practitioner            |      |            |         |                  |            |         |
| Chiropractor                    | 6.21 | 1.71-24.85 | 0.007   | 4.58             | 1.14-20.24 | 0.036   |
| Osteopath <sup>2</sup>          | -    | -          | -       | -                | -          | -       |
| Physiotherapist                 | 1.88 | 0.60-6.19  | 0.282   | 1.35             | 0.37-5.18  | 0.648   |
| Medical Practitioner            | 1.20 | 0.22-6.53  | 0.831   | 0.81             | 0.12-5.47  | 0.829   |
| Mixed/Other/Unclear             | 2.72 | 0.78-10.17 | 0.121   | 2.26             | 0.57-9.64  | 0.253   |
| Sample size spinal              |      |            |         |                  |            |         |
| manipulation group <sup>3</sup> |      |            |         |                  |            |         |
| Upper tertile                   | 3.64 | 1.57-8.87  | 0.003   | -                | -          | -       |
| Middle tertile                  | 1.27 | 0.58-2.79  | 0.544   | -                | -          | -       |

Insert around here: Table 2: Univariable and multivariable logistic regression

| Lower tertile <sup>2</sup>  |  | - |  |  |  |
|---|--|---|--|--|--|
| <sup>1</sup> The final model was adjusted for impact factor, spinal region of manipulation, and type of practitioner, while |  |   |  |  |  |
| ICMJE journal status, risk of bias, and number of participants receiving spinal manipulation were omitted via               |  |   |  |  |  |

backward elimination method.

<sup>2</sup> Reference group.

<sup>3</sup> One study with unclear sample size excluded.

## DISCUSSION

This review highlights that the reporting of adverse events in RCTs involving spinal manipulation as an intervention remains inadequate. Specifically, of the 154 included studies, just over half (n= 94, 61.0%) reported on adverse events. Furthermore, of these 94 studies, less than half (38.3%) reported directly on adverse events, with only 23.4% providing an explicit description of what constituted an adverse event. Further complicating this issue is the vast heterogeneity of terms (i.e., "adverse effect", "side effect", "harm" etc) used to describe adverse events. This is disappointing given that there have been many calls in the literature for improvement of adverse events reporting in RCTs, and for the development and use of standardized definitions and classification systems (10,17,24,33–39).

In the absence of standardized definitions and classification systems for the reporting of adverse events associated with spinal manipulation, the 2004 CONSORT Harms extension provides a checklist of items to include when reporting on harms (adverse events) in RCTs (17). One important item on this checklist is that both benefits and harms should be stated in either the title and/or abstract of a manuscript. This point is salient as the abstract is the second-most read section of a scientific manuscript after the title (40). Encouragingly, the reporting of adverse events in the abstract has doubled (2016 - 15.7% vs. 2022 - 30.9%) when compared to our previous review of the literature (10). Despite this, the current reporting on adverse events in the title/abstract of RCTs utilizing spinal manipulation remains inadequate. This finding is congruent with the wider published literature discussing adverse events (41-44). Interestingly, adverse events reporting in the results section has decreased (93.6% vs 88.3%) over the past 6 years and remains lower than that in the wider published literature (42,45). It is unknown why there would be a decrease in the reporting on adverse events associated with spinal manipulation in the one section of a scientific manuscript that it could

reasonably be expected to be reported. Furthermore, the transparent reporting of both efficacy and adverse events data in RCTs is imperative as one source of evidence for the formulation of an informed risk-benefit analysis for the use of spinal manipulation as a treatment option by both clinician and patient (41,44).

Consistent with the literature (23,24,34,35,39), there was considerable heterogeneity of nomenclature used to describe adverse events associated with spinal manipulation. Similar terms were used to indicate an adverse event in the current (compared to 2016) review: "adverse event" (2016 - 73.0%); 2022 – 74.5% of studies), "adverse effect" (23.6%; 23.4%), "side effect" (21.3%; 20.2%) and "harm" (16.4%; 11.7%). Furthermore, while similar terms were used to describe classification systems previously reported (i.e., "serious", "mild", "moderate", and "severe"), these terms were rarely defined, which is consistent with the existing literature (10,44). Additionally, when present, the reporting of onset and duration of adverse events was inconsistent. Therefore, there is an urgent need for the development of a standardized definition and classification system for the reporting of adverse events (33). In addition to such definitions and classification systems, there is also a need for improved methodologies, reporting and statistical analyses for RCTs that include spinal manipulation as an intervention. Specifically, data on adverse events should be actively collected as it has been reported that passive surveillance leads to an under-reporting (18,46) and appropriate statistical analysis plans should be used to analyse the data (41,46,47). As a minimum standard, authors should explicitly state whether active or passive surveillance systems were used (38,41). Furthermore, the responsibility for improved reporting of adverse events falls not only to authors but also to journal editors and clinical trial registries to ensure that adverse events are adequately reported i.e., using the most recent CONSORT Harms extension guidelines (17), alongside efficacy/effectiveness data prior to publication (18,38,46). Encouragingly, it appears that an update to this guideline is emergent (18) and it is hoped that a dissemination strategy will ensure that authors and journal editors alike are both aware and implement the updated guidelines in the future.

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Interestingly, RCTs published in journals with a higher impact factor, in which spinal manipulation was delivered by a chiropractor and to multiple/unclear regions, were more likely to report on adverse events. While it is perhaps intuitive that better designed studies, i.e., those at a lower risk of bias, could reasonably be published in higher impact journals, this does not appear to be the case as there was no influence of risk of bias level in the final model. This finding is congruent with a previous report where there were methodological weaknesses in 184 studies published in 2015-2016 by four of the top ranked general medical journals (BMJ, JAMA, Lancet, and NEJM) (46). Furthermore, while there is no obvious reason why studies in which spinal manipulation was delivered by a chiropractor would be more likely to report on adverse events, it is possible that this finding could be explained by a desire to 'prove' the safety of the intervention, specifically manipulation delivered to the cervical spine (48,49). This hypothesis is suggested by the data which shows that while not achieving statistical significance, studies in which cervical spine manipulation was delivered had approximately 3 times greater odds of reporting on adverse events. It is possible that this result did not achieve statistical significance due to the relatively small number of studies reporting on manipulation delivered only to the cervical spine. Regarding the increased likelihood of studies reporting on adverse events if spinal manipulation was delivered to multiple/unclear regions, it is possible that this finding is spurious as there was a larger number of studies (n=49) in this category compared to studies in which the intervention was delivered to a single region. This hypothesis is supported by our previous review which reported that the region treated was not a significant predictor for reporting on adverse events (50).

Our findings support the literature that serious adverse events are rarely associated with spinal manipulation (34,37,51,52). However, this finding was not surprising as the calculation of accurate incidence rates of such events is difficult due to their rarity. Additionally, RCTs are not the best research design for collecting this type of data as they often have strict inclusion criteria and may exclude participants who are at risk of experiencing a serious adverse event. Despite this, the consistent reporting of the number of spinal manipulations delivered to every participant in RCTs would allow for the calculation of accurate incidence rates for all classifications of adverse events

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> (serious included) and could eventually facilitate the pooling of data across multiple studies thus allowing for a better informed risk-benefit assessment of spinal manipulation (18,38). Indeed, the number of spinal manipulations delivered was only available in 75 (48.7%) of the included studies. Coupled with the implementation of standardized definitions and classification systems for adverse events associated with spinal manipulation, reporting on the number of spinal manipulations delivered in each study would allow for the inter-disciplinary calculation of incidence rates for all classifications across all healthcare professionals delivering the intervention. Such an outcome is extremely important in the context of obtaining informed consent to deliver spinal manipulation. Specifically, in many countries in which spinal manipulation is delivered, the process of obtaining informed consent requires the disclosure of all material information that a reasonable patient would require to make an informed decision about whether or not to receive that intervention (53). In the absence of accurate incidence rates for the different classifications of adverse events associated with spinal manipulation, this is a difficult task for the clinician to perform.

> There are several differences between the current review and our 2016 review (10). Specifically, the current review included an improved search strategy, including both an expansion to the number of databases searched (i.e., MEDLINE (Ovid), Embase, CINAHL and ICL were added) in addition to the inclusion of several search terms that did not limit the search to spinal manipulation delivered by chiropractors and osteopaths (i.e., physiotherapists, naprapaths and medical manipulation were added). Additionally, the current review reports on analyses that we had previously reported separately in two manuscripts: the original review (10) and a secondary analysis (50). By reporting these analyses in a single manuscript, we hope it is clearer for readers to identify that the current level of reporting of adverse events associated with spinal manipulation in RCTs remains unacceptable, and understand the possible explanations for this observation. By streamlining the dissemination of this information, we hope to make it easier for readers to identify areas in which researchers may improve the reporting of adverse events in this field.

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#### Limitations

There are several limitations to this literature review. Firstly, the decision to classify the reporting of adverse events as 'direct' (explicit description of operational definition of an adverse event provided and/or how data on adverse events were measured and/or a substantial description of adverse events observed during data collection provided) as opposed to 'indirect' (no explicit reporting of such information) was arbitrary. However, this classification did not influence whether the study reported on adverse events or not. As such, we do not feel this factor had any material influence on our results. Secondly, it was not possible to calculate an accurate incidence rate for any classification of adverse events at to the inadequate reporting of the number of manipulations delivered during individual studies. Thirdly, as outlined above, small differences in the methodology between the current and previous reviews (10,50) mean that it is not possible to directly compare all reported findings between the two reviews. However, as these differences occurred due to methodological improvements in the current review, we do not believe this affected the results and/or discussion in the current review.

## CONCLUSION

The current level of reporting of adverse events associated with spinal manipulation in RCTs is unacceptable. While there has been some improvement since the publication of our 2016 review on the same topic, it is imperative for authors, journal editors and administrators of clinical trial registries to ensure there is adequate reporting of both benefits and harms in RCTs that include spinal manipulation as an intervention. We strongly recommend that authors adhere to the most recent CONSORT Harms checklist when reporting their results and advocate for the creation of standardized definitions and classification systems relating to adverse events in manual therapy. This will facilitate the future pooling of adverse events data across all professions utilizing spinal manipulation and improve the ability to calculate incidence rates for the different levels of adverse events.

### AUTHOR CONTRIBUTIONS

LG: conceptualization, screening, risk of bias assessment, data extraction and curation, formal analysis, methodology, project administration, visualization, writing – original draft, review & editing

RL: data extraction and curation, formal analysis, methodology, visualization, writing - original draft,

review & editing

 BB: screening, risk of bias assessment, writing - review & editing

RE: screening, risk of bias assessment, methodology, writing - review & editing

## ACKNOWLEDGEMENTS

The authors would like to acknowledge Dr. Martina Gosteli for her assistance with the literature

search.

## **DATA SHARING STATEMENT**

Data are available from the corresponding author upon reasonable request.

## **REFERENCE STRENGTHS AND LIMITATIONS OF THE**

## REVIEW

1. Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA

2020 statement: an updated guideline for reporting systematic reviews. BMJ. 2021 Mar

29;372:n71.

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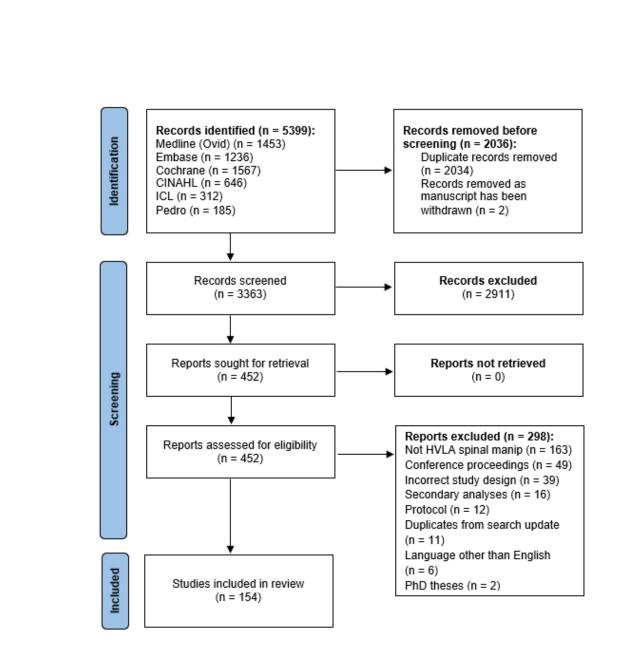


Figure 1: PRISMA flow-chart

351x381mm (38 x 38 DPI)

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## PRISMA 2020 Checklist

| Section and<br>Topic             | ltem<br># | Checklist item   | Location<br>where item<br>is reported |
|----------------------------------|-----------|--|---------------------------------------|
| TITLE                            |           |  |                                       |
| Title                            | 1         | Identify the report as a systematic review.  | P1                                    |
| ABSTRACT                         |           |  |                                       |
| Abstract                         | 2         | See the PRISMA 2020 for Abstracts checklist.   | P2-3                                  |
|                                  |           |  |                                       |
| Rationale                        | 3         | Describe the rationale for the review in the context of existing knowledge.  | P5                                    |
| Objectives                       | 4         | Provide an explicit statement of the objective(s) or question(s) the review addresses.   | P5                                    |
|                                  |           |  |                                       |
| Eligibility criteria             | 5         | Specify the inclusion and exclusion criteria for the review and how studies were grouped for the syntheses.  | P6-8                                  |
| Information<br>7 sources         | 6         | Specify all databases, registers, websites, organisations, reference lists and other sources searched or consulted to identify studies. Specify the date when each source was last searched or consulted.  | P7                                    |
| Search strategy                  | 7         | Present the full search strategies for all databases, registers and websites, including any filters and limits used.   | Appendix1                             |
| Selection process                | 8         | Specify the methods used to decide whether a study met the inclusion criteria of the review, including how many reviewers screened each record and each report retrieved, whether they worked independently, and if applicable, details of automation tools used in the process.                     | P7-8                                  |
| Data collection<br>process       | 9         | Specify the methods used to collect data from reports, including how many reviewers collected data from each report, whether they worked independently, any processes for obtaining or confirming data from study investigators, and if applicable, details of automation tools used in the process. | P7-8                                  |
| Data items                       | 10a       | List and define all outcomes for which data were sought. Specify whether all results that were compatible with each outcome domain in each study were sought (e.g. for all measures, time points, analyses), and if not, the methods used to decide which results to collect.                        | P8                                    |
|                                  | 10b       | List and define all other variables for which data were sought (e.g. participant and intervention characteristics, funding sources). Describe any assumptions made about any missing or unclear information.   | P8                                    |
| Study risk of bias               | 11        | Specify the methods used to assess risk of bias in the included studies, including details of the tool(s) used, how many reviewers assessed each study and whether they worked independently, and if applicable, details of automation tools used in the process.                                    | P8                                    |
| Effect measures                  | 12        | Specify for each outcome the effect measure(s) (e.g. risk ratio, mean difference) used in the synthesis or presentation of results.  | P8                                    |
| 2 Synthesis<br>3 methods         | 13a       | Describe the processes used to decide which studies were eligible for each synthesis (e.g. tabulating the study intervention characteristics and comparing against the planned groups for each synthesis (item #5)).   | P8                                    |
| 4<br>5                           | 13b       | Describe any methods required to prepare the data for presentation or synthesis, such as handling of missing summary statistics, or data conversions.  | P8                                    |
| \$                               | 13c       | Describe any methods used to tabulate or visually display results of individual studies and syntheses.   | P8                                    |
| 7                                | 13d       | Describe any methods used to synthesize results and provide a rationale for the choice(s). If meta-analysis was performed, describe the model(s), method(s) to identify the presence and extent of statistical heterogeneity, and software package(s) used.  | P8                                    |
| ₽<br>D                           | 13e       | Describe any methods used to explore possible causes of heterogeneity among study results (e.g. subgroup analysis, meta-regression).   |                                       |
| 1                                | 13f       | Describe any sensitivity analyses conducted to assess robustness of the synthesized results.   |                                       |
| 2 Reporting bias<br>3 assessment | 14        | Describe any methods used to assess risk of bias due to missing results in a synthesis (arising from reporting biases).  | P8                                    |
| 4 Certainty<br>5 assessment      | 15        | Describe any methods used to assess certainty (or confidence) in the body of evidence for an outcome.<br>For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml   | P8                                    |

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#### **PRISMA 2020 Checklist**

| Section and<br>Topic                           | ltem<br># | Checklist item   | Location<br>where item<br>is reported |
|--|-----------|--|---------------------------------------|
| RESULTS  |           |  |                                       |
| Study selection                                | 16a       | Describe the results of the search and selection process, from the number of records identified in the search to the number of studies included in the review, ideally using a flow diagram.   | P8-9                                  |
|  | 16b       | Cite studies that might appear to meet the inclusion criteria, but which were excluded, and explain why they were excluded.  | P8                                    |
| Study<br>characteristics                       | 17        | Cite each included study and present its characteristics.  | Appendix2                             |
| Risk of bias in studies                        | 18        | Present assessments of risk of bias for each included study.   | Appendix3                             |
| Results of individual studies                  | 19        | For all outcomes, present, for each study: (a) summary statistics for each group (where appropriate) and (b) an effect estimate and its precision (e.g. confidence/credible interval), ideally using structured tables or plots.   | P9-12                                 |
| Results of                                     | 20a       | For each synthesis, briefly summarise the characteristics and risk of bias among contributing studies.   | P9-12                                 |
| syntheses                                      | 20b       | Present results of all statistical syntheses conducted. If meta-analysis was done, present for each the summary estimate and its precision (e.g. confidence/credible interval) and measures of statistical heterogeneity. If comparing groups, describe the direction of the effect. | P9-12                                 |
|  | 20c       | Present results of all investigations of possible causes of heterogeneity among study results.   |                                       |
|  | 20d       | Present results of all sensitivity analyses conducted to assess the robustness of the synthesized results.   |                                       |
| Reporting biases                               | 21        | Present assessments of risk of bias due to missing results (arising from reporting biases) for each synthesis assessed.  |                                       |
| Certainty of evidence                          | 22        | Present assessments of certainty (or confidence) in the body of evidence for each outcome assessed.  |                                       |
| DISCUSSION                                     | 1         |  |                                       |
| Discussion                                     | 23a       | Provide a general interpretation of the results in the context of other evidence.  | P12-16                                |
| 8  | 23b       | Discuss any limitations of the evidence included in the review.  | P12-16                                |
|  | 23c       | Discuss any limitations of the review processes used.  | P16                                   |
| )  | 23d       | Discuss implications of the results for practice, policy, and future research.   | P16-17                                |
| OTHER INFORMA                                  |           |  | Dû                                    |
| Registration and<br>protocol                   | 24a       | Provide registration information for the review, including register name and registration number, or state that the review was not registered.   | P3                                    |
| + -  | 24b       | Indicate where the review protocol can be accessed, or state that a protocol was not prepared.   | P3                                    |
|  | 24c       | Describe and explain any amendments to information provided at registration or in the protocol.  | P3                                    |
| Support  | 25        | Describe sources of financial or non-financial support for the review, and the role of the funders or sponsors in the review.  | P3                                    |
| Competing<br>interests                         | 26        | Declare any competing interests of review authors.   | P4                                    |
| Availability of data, code and other materials | 27        | Report which of the following are publicly available and where they can be found: template data collection forms; data extracted from included studies; data used for all analyses; analytic code; any other materials used in the review.   |                                       |

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## Appendix 1: MEDLINE (Ovid) search strategy

#1 ((spine or spinal or medical) adj3 manip\*).ti,ab,kw.

#2 (osteopath\* or chiropract\* or naprapath\* or ((physiotherap\* or (physical adj3 therap\*)) and

manip\*)).ti,ab,kw.

#3 Manipulation, Chiropractic/ or Manipulation, Spinal/ or Musculoskeletal Manipulations/ or

Manipulation, Osteopathic/

#4 1 or 2 or 3

#5 ((randomized controlled trial or controlled clinical trial).pt. or randomized.ab. or randomised.ab. or placebo.ab. or drug therapy.fs. or randomly.ab. or trial.ab. or groups.ab.) not (exp animals/ not

humans.sh.)

humans.sh.) #6 4 and 5 #7 limit 6 to yr="2016 -Current"

## **Appendix 2: Included studies reference list**

- 1. Albers J, Jakel A, Wellmann K, von Hehn U, Schmidt T. Effectiveness of 2 Osteopathic Treatment Approaches on Pain, Pressure-Pain Threshold, and Disease Severity in Patients with Fibromyalgia: A Randomized Controlled Trial. Complement Med Res. 2018;25(2):122–8.
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| Author, year (reference)                       | Overall risk of bias assessment |
|--|---------------------------------|
| Albers et al, 2018 <sup>(1)</sup>              | Some concerns                   |
| Alonso-Perez et al, 2017 <sup>(2)</sup>        | Low risk                        |
| Alvarenga et al, 2018 (3)                      | Some concerns                   |
| Aspinall et al, 2019 <sup>(4)</sup>            | Low risk                        |
| Balbás-Álvarez et al, 2018 (5)                 | Low risk                        |
| Bautista-Aguirre et al, 2017 <sup>(6)</sup>    | Some concerns                   |
| Behrangrad & Kamali, 2017 (7)                  | High risk                       |
| Bernal-Utrera et al, 2020 <sup>(8)</sup>       | High risk                       |
| Fernandes et al, 2016 <sup>(9)</sup>           | High risk                       |
| Boff et al, 2020 (10)                          | High risk                       |
| Bond et al, 2020 (11)                          | High risk                       |
| Bracht et al, 2018 (12)                        | Some concerns                   |
| Bronfort et al, 2022 <sup>(13)</sup>           | High risk                       |
| Brück et al, 2021 <sup>(14)</sup>              | Some concerns                   |
| Cambron et al, 2017 <sup>(15)</sup>            | High risk                       |
| Carrasco-Martínez et al, 2019 (16)             | High risk                       |
| Carrasco-Uribarren et al, 2021 <sup>(17)</sup> | High risk                       |
| Castello Branco & Moodley, 2016 (18)           | High risk                       |
| Castro-Sanchez et al, 2016 <sup>(19)</sup>     | Low risk                        |
| Castro-Sanchez et al, 2021 <sup>(20)</sup>     | Low risk                        |
| Chaibi et al, 2017 (21)                        | High risk                       |
| Cholewicki et al, 2021 <sup>(22)</sup>         | High risk                       |
| Corum et al, 2021 <sup>(23)</sup>              | High risk                       |
| Coste et al, 2021 <sup>(24)</sup>              | High risk                       |
| Crothers et al, 2016 <sup>(25)</sup>           | High risk                       |
| de Oliveira et al, 2020 (26)                   | Some concerns                   |
| DeVocht et al, 2019 <sup>(27)</sup>            | Low risk                        |
| Didehdar et al, 2020 <sup>(28)</sup>           | High risk                       |
| Dishman et al, 2018 <sup>(29)</sup>            | High risk                       |
| Dissing et al, 2018 <sup>(30)</sup>            | Low risk                        |
| Ditcharles et al, 2017 <sup>(31)</sup>         | Some concerns                   |
| Dorron et al, 2016 (32)                        | Some concerns                   |
| Dunning et al, 2016 (33)                       | Low risk                        |
| Dunning et al, 2021 <sup>(34)</sup>            | Some concerns                   |
| Dunning et al, 2021 <sup>(35)</sup>            | Some concerns                   |
| Eklund et al, 2018 (36)                        | Low risk                        |
| Engel et al, 2016 (37)                         | High risk                       |

# **Appendix 3: Risk of bias assessment of included studies**

| Erdem et al, 2021 (38)                              | Some concerns |
|---|---------------|
| Espi-López et al, 2016 (39)                         | High risk     |
| Espi-López et al, 2018 (40)                         | High risk     |
| Espi-López et al, 2016 <sup>(41)</sup>              | Some concerns |
| Espi-López et al, 2016 (42)                         | High risk     |
| Evans et al, 2018 (43)                              | High risk     |
| Fagundes Loss et al, 2020 (44)                      | Some concerns |
| Farazdaghi et al, 2018 (45)                         | Low risk      |
| Fisher et al, 2020 (46)                             | High risk     |
| Ford et al, 2019 <sup>(47)</sup>                    | High risk     |
| Fosberg et al, 2020 <sup>(48)</sup>                 | Low risk      |
| Fraix et al, 2021 <sup>(49)</sup>                   | High risk     |
| Fritz et al, 2021 <sup>(50)</sup>                   | High risk     |
| Fritz et al, 2021 <sup>(51)</sup>                   | High risk     |
| Galindez-Ibarbengoetxea et al, 2018 <sup>(52)</sup> | High risk     |
| Galindez-Ibarbengoetxea et al, 2017 <sup>(53)</sup> | High risk     |
| Galindez-Ibarbengoetxea et al, 2018 <sup>(54)</sup> | High risk     |
| Garcia-Perez-Juana et al, 2018 (55)                 | High risk     |
| Gattie et al, 2021 <sup>(56)</sup>                  | Some concerns |
| Gesslbauer et al, 2018 <sup>(57)</sup>              | High risk     |
| Ghasabmahaleh et al, 2021 <sup>(58)</sup>           | High risk     |
| Goertz et al, 2017 (59)                             | High risk     |
| Goertz et al, 2016 (60)                             | High risk     |
| Goertz et al, 2016 (61)                             | High risk     |
| Gomez et al, 2020 <sup>(62)</sup>                   | Some concerns |
| Gorrell et al, 2016 <sup>(63)</sup>                 | Some concerns |
| Grimes et al, 2019 (64)                             | Some concerns |
| Griswold et al, 2018 <sup>(65)</sup>                | Some concerns |
| Groisman et al, 2020 <sup>(66)</sup>                | Some concerns |
| Haas et al, 2018 (67)                               | Some concerns |
| Haider et al, 2018 (68)                             | High risk     |
| Haik et al, 2017 (69)                               | High risk     |
| Haleema et al, 2021 (70)                            | High risk     |
| Hanney et al, 2017 <sup>(71)</sup>                  | High risk     |
| Hardas & Murrell, 2018 <sup>(72)</sup>              | Some concerns |
| Harihara Prakash et al, 2020 <sup>(73)</sup>        | High risk     |
| Hartstein et al, 2018 (74)                          | High risk     |
| Holt et al, 2021 <sup>(75)</sup>                    | High risk     |
| Holt et al, 2016 <sup>(76)</sup>                    | High risk     |

| Javadov et al, 2021 (77)                               | High risk     |
|--|---------------|
| Joo et al, 2018 (78)                                   | High risk     |
| Jordon et al, 2017 (79)                                | High risk     |
| Joshi et al, 2020 (80)                                 | High risk     |
| Kachmar et al, 2018 <sup>(81)</sup>                    | Some concerns |
| Kamali et al, 2019 (82)                                | Low risk      |
| Karas et al, 2018 <sup>(83)</sup>                      | High risk     |
| Kendall et al, 2018 <sup>(84)</sup>                    | High risk     |
| Laframboise et al, 2016 <sup>(85)</sup>                | High risk     |
| Langenfeld et al, 2018 (86)                            | Some concerns |
| Lee & Kim, 2016 <sup>(87)</sup>                        | High risk     |
| Lim et al, 2019 <sup>(88)</sup>                        | High risk     |
| Lisi et al, 2019 <sup>(89)</sup>                       | High risk     |
| Lohman et al, 2019 (90)                                | High risk     |
| Lopez-de-Uralde-Villanueva et al, 2020 <sup>(91)</sup> | High risk     |
| Lopez-de-Uralde-Villanueva et al, 2018 (92)            | Some concerns |
| Lorenzo et al, 2019 (93)                               | High risk     |
| Luceno-Mardones et al, 2021 (94)                       | High risk     |
| Lynen et al, 2022 <sup>(95)</sup>                      | High risk     |
| Lynge et al, 2021 <sup>(96)</sup>                      | Some concerns |
| Maiers et al, 2019 <sup>(97)</sup>                     | Some concerns |
| Marske et al, 2018 <sup>(98)</sup>                     | High risk     |
| McCarthy et al, 2019 <sup>(99)</sup>                   | High risk     |
| Minarini et al, 2018 (100)                             | High risk     |
| Mintken et al, 2016 (101)                              | High risk     |
| Moodley & Craig, 2020 (102)                            | High risk     |
| Motealleh et al, 2020 <sup>(103)</sup>                 | High risk     |
| Motealleh et al, 2016 <sup>(104)</sup>                 | High risk     |
| Moustafa et al, 2016 (105)                             | High risk     |
| Munoz-Gomez et al, 2021 (106)                          | Some concerns |
| Nambi et al, 2018 (107)                                | Some concerns |
| Nejati et al, 2019 (108)                               | Some concerns |
| Nogueira et al, 2020 (109)                             | Some concerns |
| Paanalahti et al, 2016 (110)                           | High risk     |
| Page & Descarreaux, 2019 (111)                         | High risk     |
| Papa et al, 2017 (112)                                 | High risk     |
| Paredes et al, 2020 <sup>(113)</sup>                   | High risk     |
| Pascual-Vaca et al, 2017 (114)                         | High risk     |
| Passmore et al, 2019 (115)                             | High risk     |

| Penza et al, 2017 (116)                      | Some concerns |
|--|---------------|
| Petrozzi et al, 2019 <sup>(117)</sup>        | Low risk      |
| Qu et al, 2016 (118)                         | High risk     |
| Qu et al, 2018 (119)                         | Some concerns |
| Reynolds et al, 2020 (120)                   | High risk     |
| Rist et al, 2021 (121)                       | High risk     |
| Rodrigues et al, 2021 (122)                  | High risk     |
| Rodriguez-Sanz et al, 2020 (123)             | High risk     |
| Rodriguez-Sanz et al, 2021 (124)             | Some concerns |
| Romero Del Rey et al, 2022 (125)             | Some concerns |
| Rose et al, 2017 (126)                       | High risk     |
| Sampath et al, 2017 (127)                    | High risk     |
| Sarker et al, 2019 (128)                     | Some concerns |
| Schulz et al, 2019 (129)                     | Some concerns |
| Shin & Lee, 2016 (130)                       | Some concerns |
| Silva et al, 2019 (131)                      | Some concerns |
| Simoni et al, 2021 (132)                     | High risk     |
| Soal et al, 2019 (133)                       | High risk     |
| Sparks et al, 2017 (134)                     | Some concerns |
| Stepnik et al, 2020 (135)                    | High risk     |
| Sueki et al, 2020 (136)                      | High risk     |
| Telles et al, 2021 (137)                     | Some concerns |
| Thomas et al, 2020 (138)                     | High risk     |
| Vaden et al, 2020 (139)                      | High risk     |
| Valenzuela et al, 2019 (140)                 | Some concerns |
| Valera-Calero et al, 2019 (141)              | Some concerns |
| Vilas Boas Fernandes et al, 2016 (142)       | Some concerns |
| Vining et al, 2020 (143)                     | Some concerns |
| Vinuesa-Montoya et al, 2017 <sup>(144)</sup> | Some concerns |
| Wang et al, 2019 (145)                       | High risk     |
| Wang et al, 2020 (146)                       | High risk     |
| Ward et al, 2018 (147)                       | High risk     |
| Wright et al, 2017 (148)                     | Some concerns |
| Xia et al, 2016 <sup>(149)</sup>             | High risk     |
| Yao et al, 2020 (150)                        | High risk     |
| Younes et al, 2017 (151)                     | High risk     |
| Young et al, 2019 (152)                      | High risk     |
| Zafereo et al, 2018 <sup>(153)</sup>         | Some concerns |
| Zago et al, 2021 (154)                       | High risk     |

**BMJ** Open

# **BMJ Open**

# The reporting of adverse events associated with spinal manipulation in randomized clinical trials: an updated systematic review

| Journal:                             | BMJ Open  |
|--------------------------------------|---|
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| <b>Primary Subject<br/>Heading</b> : | Rehabilitation medicine   |
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|                                      |   |





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# THE REPORTING OF ADVERSE EVENTS ASSOCIATED WITH SPINAL MANIPULATION IN RANDOMIZED CLINICAL TRIALS: AN UPDATED SYSTEMATIC REVIEW

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- <sup>3</sup> 25 Number of figures: 1
- 5 26 Number of tables: 3
- 7 27 Number of appendices: 3

## ABSTRACT

#### **Objectives**

3 To describe if there has been a change in the reporting of adverse events associated with spinal

4 manipulation in randomized controlled trials (RCTs) since 2016.

#### 5 Design

6 Systematic literature review.

#### **Data sources**

B Databases were searched from March 2016 to May 2022: MEDLINE (Ovid), Embase, CINAHL, ICL,
PEDro and Cochrane Library. The following search terms and their derivatives were adapted for each
platform: *spinal manipulation; chiropractic; osteopathy; physiotherapy; naprapathy; medical manipulation; clinical trial.*

#### 12 Methods

13 Domains of interest (pertaining to adverse events) included: completeness and location of reporting;

14 nomenclature and description; spinal location and practitioner delivering manipulation;

15 methodological quality of the studies; and details of the publishing journal. Frequencies and

16 proportions of studies reporting on each of these domains were calculated. Univariable and

17 multivariable logistic regression models were fitted to examine the effect of potential predictors on

18 the likelihood of studies reporting on adverse events.

#### **Results**

There were 5,399 records identified by the electronic searches, of which 154 (2.9%) were included in the analysis. Of these, ninety-four (61.0%) reported on adverse events with only 23.4% providing an explicit description of what constituted an adverse event. Reporting of adverse events in the abstract has increased (n= 29, 30.9%) while reporting in the results section has decreased (n= 83, 88.3%) over the past 6 years. Spinal manipulation was delivered to 7,518 participants in the included studies. No serious adverse events were reported in any of these studies.

### 1 Conclusions

While the current level of reporting of adverse events associated with spinal manipulation in RCTs
has increased since our 2016 publication on the same topic, the level remains low and inconsistent
with established standards. As such, it is imperative for authors, journal editors and administrators of
clinical trial registries to ensure there is more balanced reporting of both benefits and harms in RCTs

6 involving spinal manipulation.

## 7 ARTICLE SUMMARY

#### 8 Strengths and limitations of this review

- This systematic review was conducted following the Preferred Reporting Items for Systematic
- Reviews and Meta-Analysis guidelines (1)
  - The search strategy was inclusive of professions that deliver spinal manipulation
  - The search included several databases relevant to manual therapy
    - Due to heterogeneity of reporting of adverse events, only descriptive statistics were used to describe domains of interest

## **PROTOCOL**

16 https://www.crd.york.ac.uk/prospero/display\_record.php?RecordID=270543

## 17 FUNDING STATEMENT

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21 The authors declare no conflicts of interest.

## 22 WORD COUNT

23 4399

## 1 KEYWORDS

2 Adverse events; Harms; Literature review; Manipulation, spinal; Randomized controlled trials; Spinal

3 manipulative therapy.

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### **INTRODUCTION**

 The use of high-velocity, low-amplitude spinal manipulation to treat spinal pain and dysfunction is recommended in clinical and best practice guidelines (1-4) and is commonly used by several healthcare professions (5–7). Despite this, concerns remain surrounding adverse events following the intervention (8.9). Adverse events associated with spinal manipulation are typically benign, transient, and do not require further treatment (10). Indeed, some authors classify increased muscle soreness or stiffness in the treatment area as an 'expected outcome of treatment' rather than an adverse event (11). At the other end of the spectrum, catastrophic events, such as vertebral artery dissection, have been temporally associated with spinal manipulation (12). However, such events are rare, and as a result, are typically reported in individual case reports or case series with little to no information regarding the intervention that was delivered (13). Indeed, synthesis of the current literature suggests that there is no evidence for cervical spine manipulation causing cervical artery dissection (14). Additionally, several large population-based studies have reported that there is no difference in risk of cervical artery dissection following visits to a chiropractor compared to those occurring following a visit to a primary care provider (15,16) or, in those who received cervical spinal manipulation compared to matched controls (17,18). Furthermore, recent biomechanical studies report that head angular displacements and vertebral artery length changes are small during cervical spine manipulation thrusts (19) and that the vertebral artery does not experience longitudinal force during cervical spine manipulation (20). Despite this literature, the serious nature of such events that are temporally associated with cervical spine manipulation makes it imperative that the circumstances surrounding such events are reported transparently. Randomized clinical trials (RCTs) are the gold standard study design for measuring effectiveness (benefit/s) of interventions for the treatment of spinal pain and dysfunction. However, as the risks of an intervention are also important to both patients and practitioners, RCTs should report on not only

the efficacy of spinal manipulation, but also any adverse events associated with the intervention. The

- 26 Consolidated Standards of Reporting Trials (CONSORT) statement, first published in 1996 with
- several updates since, provides the scientific community (specifically researchers and journal editors)
   60

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with a scaffold to standardize and improve the quality of RCT reporting (21–23). The CONSORT statement acknowledges the importance of reporting adverse events alongside effectiveness data. The 2004 Harms extension document (24) provides specific recommendations for how and where this data should be included in scientific manuscripts. While there has been improvement in the reporting of adverse events since the publication of the 2004 extension, reporting remains insufficient (25), especially for RCTs that involve spinal manipulation (26). Thus, the objective of this review was to describe if there has been a change in the reporting of adverse events associated with spinal manipulation in RCTs since 2016.

# 9 METHODOLOGY

This systematic literature review was conducted following the Preferred Reporting Items for
Systematic Reviews and Meta-Analysis guidelines (27).

#### **Definitions**

Spinal manipulation was defined as a manual procedure involving a high-velocity, low-amplitude (HVLA) thrust delivered to a spinal joint with the intention of moving the joint past its physiological range of motion but without exceeding the anatomic limit (28). For the purposes of this review, spinal manipulation delivered using drop-piece-table and mechanical implements (e.g. Activator instrument) were considered HVLA procedures (29). An adverse event was defined as any unfavourable reaction with a temporal association to spinal manipulation that resulted in an alteration in a participant's activities of daily living (30,31), irrespective of the timing of onset, duration, or severity of the event (32). 

21 A serious adverse event was defined as any unfavourable sign, symptom, or disease temporally

associated with the treatment, whether or not caused by the treatment that results in death or is life-

threatening or results in inpatient hospitalization or prolongation of existing hospitalization for more

than 24 hours with a persistent or significant incapacity or substantial disruption of the ability to

<sup>5</sup> 25 conduct normal life functions (30).

- 26 To be classified as reporting on adverse events "directly", a study must have provided explicit
- description of their operational definition of an adverse event (e.g. "In the current study, an adverse

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#### 1 event was defined as a sequelae of 1-week duration with any symptom perceived as distressing and

- 2 unacceptable to the patient that required further treatment [excerpt from reference 63]." (33)), and/or
- 3 how data on adverse events were measured (e.g. "Active and passive surveillance methods were used
  - 4 to collect information on adverse events." (34)), and/or provide a substantial description of adverse
- 5 events observed during data collection (35,36). In contrast, all other studies reporting on adverse
- 6 events "indirectly" did not explicitly provide such information.

#### 7 Patient and public involvement

8 No patients were involved in this systematic literature review.

#### **Ethics approval**

10 Ethics approval was not required for this systematic literature review.

#### 11 Eligibility criteria

Consistent with the 2016 review (26), RCTs reporting original data on spinal manipulation as either the sole intervention, or as the sole intervention in a comparator group, delivered by any regulated health professional, and published in English, were eligible for inclusion. Studies reporting on reviews, other trial designs, trial registrations, protocols, commentaries, editorials and conference proceedings were excluded. Further exclusion criteria included retracted articles, secondary analyses, studies in which the full text was not available in English, and studies where manipulation was only applied to an area other than the spine. Studies were also excluded if it was unclear if the intervention being delivered involved an HVLA manipulation.

#### 20 Search strategy

- 21 The following databases were searched from 1 March 2016 to 12 May 2022: MEDLINE (Ovid),
- 22 Embase, CINAHL, ICL, PEDro and Cochrane Library. Reference lists of included studies were
- 23 screened to insure all relevant literature was captured. The following search terms and derivatives
- 24 were adapted for each platform: *spinal manipulation; chiropractic; osteopathy; physiotherapy;*
- *naprapathy; medical manipulation; clinical trial.* An example of each search strategy is provided in
- 26 Appendix 1.

#### 60 27 Study selection process

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Records retrieved from the electronic searches were exported to the Rayyan online platform (37). Duplicate records, and records included in the 2016 review were removed before title and abstract screening. Two authors (LG and BB) independently screened included studies in a step-wise process, beginning with review of each title and abstract. Full-texts of the studies remaining after this step were retrieved and further screened against the eligibility criteria (LG and RE). Any disagreements regarding inclusion were resolved by consensus and if consensus could not be reached, disagreements were resolved by a third author (BB).

#### 8 Data extraction

Adverse events reporting data were extracted from the remaining studies by two authors (LG and RL). This data included descriptive information [i.e., title, author, year of publication, country where the data was collected, journal of publication, spinal region treated (e.g., cervical spine), type of practitioner delivering the spinal manipulation (e.g., chiropractor)], whether the study reported on adverse events (i.e., reported/not and if reported; directly/indirectly), location of reporting within the article, classification of adverse events reported (e.g., mild, moderate, serious, severe), completeness of adverse events reporting (i.e., onset, duration, and number of events reported), number of participants in the spinal manipulation group/s, and descriptions of any definitions and/or classification systems used. Other data collated by the lead author (LG) included whether the study was published in a journal that follows the International Committee of Medical Journal Editors (ICMJE) guidelines via a search of the ICMJE website (38) on 29 May 2022. Additionally, the most recently published impact factor (year 2020) for each journal was manually extracted by the lead author (LG) from the Clarivate Journal Citations Reports website (39) on 29 May 2022. Assessment of risk of bias using the Cochrane ROB 2 assessment tool (40) was performed by three authors working in pairs (LG and RE, LG and BB) for all included studies to assess the methodological quality of the publication. Disagreements were resolved by consensus and if consensus could not be reached, disagreements were resolved by a third author (RL). **Data analysis** 

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> Data were analysed using descriptive statistics. Frequencies and proportions of studies reporting on each of the specified domains above were calculated in Microsoft Excel (Version 2102, Microsoft Corporation, USA). Continuous variables with highly skewed distributions (i.e., journal impact factor and sample size of spinal manipulation group) were categorised into tertiles. Univariable and multivariable logistic regression models were fitted to examine the effect of potential predictors on the likelihood of studies reporting on adverse events. The multivariable logistic regression model was fitted using backward elimination, whereby the least significant potential predictors were sequentially eliminated from the multivariable model until only significant predictors remained. The observed effects from the univariable and multivariable logistic regression models were reported as odds ratios (OR) and adjusted odds ratios (aOR) respectively, with 95% confidence intervals (CI). All statistical analyses were performed using the statistical computing software R version 4.0.3 (The R Foundation for Statistical Computing, Vienna, Austria).

#### **13 RESULTS**

There were 5,399 records initially identified by the electronic searches (Figure 1). A total of 3,363 unique records remained after de-duplication (n=2,034) and the removal of retracted articles (n=2).
After title and abstract screening, full texts of the 452 remaining studies were screened. Of these, 154 fulfilled the eligibility criteria and were included in the analysis (see Appendix 2). The most common reasons for exclusion were: the intervention did not consist of HVLA spinal manipulation (n=163)

19 and/or the study related to a conference proceeding (n=49).

*Insert around here:* Figure 1: PRISMA flow diagram.

#### 21 Comprehensiveness of reporting of adverse events

Of the 154 included studies, 94 (61.0%) reported on adverse events. Of these 94 studies, 36 (38.3%)
directly reported on adverse events, with studies in which spinal manipulation was delivered by a
chiropractor most frequently reporting this data (n=17; 47.2%, Table 1). Indirect reporting occurred in
58 studies (61.7%), with studies in which spinal manipulation was delivered by a physiotherapist
being the most frequent (n=29; 50.0%, Table 1). Of the 60 studies (39.0%) that did not report on
adverse events, studies in which spinal manipulation was delivered by a physiotherapist were the most

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| 1  | frequent (n=28; 46.7%, Table 1). A description of what constituted an adverse event definition and/or     |
|----|---|
| 2  | the classification system used was provided in 22 studies (23.4%). However, most studies did not          |
| 3  | provide a description and instead used terms such as "adverse event" (n=70, 74.5%), "adverse effect"      |
| 4  | (n=22, 23.4%), "side effect" (n=19, 20.2%) and "harm" (n=11, 11.7%) without adequate explanation.         |
| 5  | When mentioned, terms pertaining to classification systems (predominantly severity) were (number of       |
| 6  | studies in which the term was used, %): "mild" (n=20, 21.3%), "moderate" (n=17, 18.1%), "serious"         |
| 7  | (n=27, 28.7%), and "severe" (n=14, 14.9%). The onset of an adverse event/s was unclear in 30              |
| 8  | (31.9%) studies. Duration of adverse events were reported heterogeneously, with some studies              |
| 9  | providing a time from either baseline or the start of intervention, whereas others provided a temporal    |
| 10 | descriptor such as "short-term", "temporary" or, "transient". Of the 9 studies providing times, durations |
| 11 | were as follows: <72hr (n=3, 3.2%), >72hr (n=2, 2.1%) or mixed duration (n=4, 4.3%). An evaluation        |
| 12 | tool was mentioned in 26 (27.7%) studies.   |
| 10 | Insert ground have Table 1: Comprehensiveness of reporting of adverse events by previder delivering       |

Insert around here: Table 1: Comprehensiveness of reporting of adverse events by provider delivering

the intervention

|                      | Directly reports on AE $(n=26)$ n $(9/)$ | Indirectly reports on $AE(n=58)$ , $n(9/2)$ | Does not report on AE $(n=60)$ n $(9/2)$ |
|----------------------|--|---|--|
|                      | (n=36), n (%)                            | AE (n=58), n (%)                            | (n=60), n (%)                            |
| Chiropractor         | 17 (47.2)                                | 12 (20.7)                                   | 7 (11.7)                                 |
| Medical Practitioner | 1 (2.8)                                  | 4 (6.9)                                     | 5 (8.3)                                  |
| Mixed                | 7 (19.4)                                 | 7 (12.1)                                    | 7 (11.7)                                 |
| Naprapath            | 0 (0.0)                                  | 0 (0.0)                                     | 1 (1.7)                                  |
| Osteopath            | 4 (11.1)                                 | 2 (3.4)                                     | 9 (15.0)                                 |
| Physiotherapist      | 6 (16.7)                                 | 29 (50.0)                                   | 28 (46.7)                                |
| Unclear              | 1 (2.8)                                  | 4 (6.9)                                     | 3 (5.0)                                  |
| AE; adverse event    |  |   |  |

AE; adverse event 

#### Number and location of adverse events reporting

No serious adverse events were reported in any of the 154 included studies, representing 7,518 participants who received spinal manipulation. Furthermore, of the 94 studies reporting on adverse events, 63 (67.0%) reported that no adverse events occurred. Adverse events were reported in the abstract of 29 (30.9%) and results section of 83 (88.3%) studies. Furthermore, adverse events were mentioned in several locations throughout the included studies: the introduction (n=15, 16.0%),

1 methods (n=56, 59.6%), discussion (n=30, 31.9%), conclusion (n=7, 7.4%), and supplementary

2 materials (n=1, 1.1%).

#### **3** Descriptors of studies reporting on adverse events

4 Descriptive statistics are provided in Table 2. Of the 94 studies reporting on adverse events, 55

5 (58.5%) were rated at a 'high risk of bias', 29 (30.9%) as 'some concerns' and 10 (10.6%) at a 'low risk

6 of bias' (Appendix 3). Additionally, 33 (35.1%) were published in journals stating that they follow the

7 ICMJE recommendations. For the remaining studies, the median of the most recently published

8 (2020) impact factor was 2.5 (IQR: 2.1–4.2).

*Insert around here:* Table 2: Characteristics of included studies by reporting on adverse

10 events

|                                    | CC.                        | Overall<br>(n=154), n (%) | Reports on AE<br>(n=94), n (%) | Does not report<br>on AE<br>(n=60), n (%) |
|------------------------------------|----------------------------|---------------------------|--------------------------------|---|
| ICMJE<br>journal                   | Published in ICJME journal | 53 (34.4)                 | 33 (35.1)                      | 20 (33.3)                                 |
| -                                  | Low risk                   | 13 (8.4)                  | 10 (10.6)                      | 3 (5.0)                                   |
| Risk of bias<br>Impact factor      | Some concerns              | 47 (30.5)                 | 29 (30.9)                      | 18 (30.0)                                 |
|                                    | High risk                  | 94 (61.0)                 | 55 (58.5)                      | 39 (65.0)                                 |
|                                    | Upper tertile              | 47 (30.5)                 | 36 (38.3)                      | 11 (18.3)                                 |
| Impact factor                      | Middle tertile             | 54 (35.1)                 | 37 (39.4)                      | 17 (28.3)                                 |
|                                    | Lower tertile              | 53 (34.4)                 | 21 (22.3)                      | 32 (53.3)                                 |
|                                    | Cervical                   | 24 (15.6)                 | 17 (18.1)                      | 7 (11.7)                                  |
| Spinal region                      | Thoracic                   | 33 (21.4)                 | 15 (16.0)                      | 18 (30.0)                                 |
|                                    | Lumbopelvic                | 28 (18.2)                 | 13 (13.8)                      | 15 (25.0)                                 |
|                                    | Mixed/Unclear              | 69 (44.8)                 | 49 (52.1)                      | 20 (33.3)                                 |
|                                    | Chiropractor               | 36 (23.4)                 | 29 (30.9)                      | 7 (11.7)                                  |
| Type of                            | Osteopath                  | 15 (9.7)                  | 6 (6.4)                        | 9 (15.0)                                  |
|                                    | Physiotherapist            | 63 (40.9)                 | 35 (37.2)                      | 28 (46.7)                                 |
| Type of<br>practitioner            | Medical Practitioner       | 9 (5.8)                   | 4 (4.3)                        | 5 (8.3)                                   |
|                                    | Mixed/Other/Unclear        | 31 (20.1)                 | 20 (21.2)                      | 11 (18.3)                                 |
| Sample size                        | Upper tertile              | 51 (33.3)                 | 40 (42.6)                      | 11 (18.6)                                 |
| spinal                             | Middle tertile             | 50 (32.7)                 | 28 (29.8)                      | 22 (37.3)                                 |
| manipulation<br>group <sup>1</sup> | Lower tertile              | 52 (34.0)                 | 26 (27.7)                      | 26 (44.1)                                 |

<sup>1</sup> One study with unclear sample size excluded

12 AE; adverse event

#### **Predictors for the reporting of adverse events**

15 There was very strong evidence that studies with an impact factor in the upper (aOR: 5.72 [95% CI:

16 2.23-15.85]; p < 0.001) and middle (aOR: 3.52 [95% CI: 1.51-8.57]; p = 0.004) tertiles were more

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likely to report on adverse events than those in the lower tertile when the model was adjusted for risk of bias, impact factor, spinal region of manipulation, and number of participants receiving spinal manipulation (Table 3). There was also strong evidence that studies in which a chiropractor delivered the spinal manipulation were more likely to report on adverse events (aOR: 4.58 [95% CI: 1.14-20.24; p = 0.036). Studies in which spinal manipulation was delivered to more than one region or, it was unclear which regions the manipulations were delivered, were also more likely to report on adverse events (aOR: 3.18 [95% CI: 1.16-9.05]; p = 0.027). While not achieving statistical significance, another factor of note included studies in which cervical spine manipulation was delivered (aOR: 3.04 [95% CI: 0.88-11.30]; p = 0.085). Insert around here: Table 3: Univariable and multivariable logistic regression 

| Variable                        | OR   | 95%CI      | p-value | aOR <sup>1</sup> | 95%CI      | p-value |
|---------------------------------|------|------------|---------|------------------|------------|---------|
| ICMJE journal                   |      |            |         |                  |            |         |
| Yes                             | 1.08 | 0.55-2.16  | 0.821   | -                | -          | -       |
| No <sup>2</sup>                 | -    | -          | -       | -                | -          | -       |
| Risk of bias                    |      |            |         |                  |            |         |
| Low risk                        | 2.36 | 0.67-11.01 | 0.213   | -                | -          | -       |
| Some concerns                   | 1.14 | 0.56-2.37  | 0.716   | -                | -          | -       |
| High risk <sup>2</sup>          | -    | _          | -       | -                | -          | -       |
| Impact factor                   |      |            | •       |                  |            |         |
| Upper tertile                   | 4.99 | 2.14-12.32 | < 0.001 | 5.72             | 2.23-15.85 | < 0.001 |
| Middle tertile                  | 3.32 | 1.52-7.48  | 0.003   | 3.52             | 1.51-8.57  | 0.004   |
| Lower tertile <sup>2</sup>      | -    | -          |         | -                | -          | -       |
| Spinal region                   |      |            |         |                  |            |         |
| Cervical                        | 2.80 | 0.91-9.27  | 0.080   | 3.04             | 0.88-11.30 | 0.085   |
| Thoracic                        | 0.96 | 0.35-2.66  | 0.939   | 1.09             | 0.34-3.45  | 0.887   |
| Lumbopelvic <sup>2</sup>        | -    | -          | -       | -                | -          | -       |
| Mixed/Unclear                   | 2.83 | 1.15-7.11  | 0.025   | 3.18             | 1.16-9.05  | 0.027   |
| Type of practitioner            |      |            |         |                  |            |         |
| Chiropractor                    | 6.21 | 1.71-24.85 | 0.007   | 4.58             | 1.14-20.24 | 0.036   |
| Osteopath <sup>2</sup>          | -    | -          | -       | -                | -          | -       |
| Physiotherapist                 | 1.88 | 0.60-6.19  | 0.282   | 1.35             | 0.37-5.18  | 0.648   |
| Medical Practitioner            | 1.20 | 0.22-6.53  | 0.831   | 0.81             | 0.12-5.47  | 0.829   |
| Mixed/Other/Unclear             | 2.72 | 0.78-10.17 | 0.121   | 2.26             | 0.57-9.64  | 0.253   |
| Sample size spinal              |      |            |         |                  |            |         |
| manipulation group <sup>3</sup> |      |            |         |                  |            |         |
| Upper tertile                   | 3.64 | 1.57-8.87  | 0.003   | -                | -          | -       |
| Middle tertile                  | 1.27 | 0.58-2.79  | 0.544   | -                | -          | -       |
| Lower tertile <sup>2</sup>      | -    | -          | -       | -                | -          | -       |

*Insert around here*. Table 5. Onivariable and multivariable logistic regression

11 <sup>1</sup> The final model was adjusted for impact factor, spinal region of manipulation, and type of practitioner, while

ICMJE journal status, risk of bias, and number of participants receiving spinal manipulation were omitted via
 backward elimination method.

58 14 <sup>2</sup> Reference group.

59 15  $^3$  One study with unclear sample size excluded.

## **DISCUSSION**

There has been a change in the reporting of adverse events associated with spinal manipulation in RCTs since 2016. Specifically, the percentage of included studies reporting adverse events has increased from 38.0% (2016 study (26)) to 61.0% (current study). However, the current review highlights that the reporting of adverse events in RCTs involving spinal manipulation as an intervention remains poor and is not consistent with established standards. Specifically, of the 154 included studies, just over half (n= 94, 61.0%) reported on adverse events. Furthermore, of these 94 studies, less than half (38.3%) reported directly on adverse events, with only 23.4% providing an explicit description of what constituted an adverse event. Further complicating this issue is the vast heterogeneity of terms (i.e., "adverse effect", "side effect", "harm" etc) used to describe adverse events. This is disappointing given that there have been many calls in the literature for the improvement of adverse events reporting in RCTs, and for the development and use of standardized definitions and classification systems (24,26,32,41–46). 

A recent scoping review explores the complexity of the current literature reporting on adverse events associated with spinal and peripheral joint manipulation and mobilisation (47). Specifically, the authors report that conflicting opinions regarding facets of adverse event definition and classification such as: symptom severity and duration, relatedness to the intervention (e.g., time to onset, treatment provided), action taken to treat the symptoms, expectedness, which profession delivered the intervention and geographical location (with possible medico-legal constraints and/or different expectations of reporting/not reporting) are all factors to reflect on when considering adverse events associated with joint manipulation and mobilisation. In an attempt to address the lack of standardized definitions and classification systems across professions that deliver spinal manipulation, the same authors have conducted an international Delphi study (manuscript in preparation; protocol paper (41)) to determine, by expert consensus, a standardised definition and severity classification for adverse events associated with spinal and peripheral joint manipulation and mobilisation. The development 

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and use of such guidelines would constitute an important step toward uniform reporting of adverse events associated with spinal manipulation across all stakeholder professions and geographical locations.

However, until this work is published, the 2004 CONSORT Harms extension provides a checklist of items to include and specific examples of good reporting (Appendix 2) when reporting on harms (adverse events) in RCTs (24). Furthermore, it appears that an update to this guideline is emergent (25). It is hoped that these updated guidelines will ensure that authors and journal editors alike are both aware of and implement better harms reporting in the future. We strongly encourage researchers and journal editors alike to read and use the most recent CONSORT Harms checklist during all phases of study development, data collection, manuscript preparation, submission and during the review process. One important item on this checklist is that both benefits and harms should be stated in either the title and/or abstract of a manuscript. This point is salient as the abstract is the second-most read section of a scientific manuscript after the title (48). Encouragingly, the reporting of adverse events in the abstract has doubled (15.7-30.9%, 2016 to current) when compared to our previous review of the literature (26). Despite this, the current reporting on adverse events in the title/abstract of RCTs utilizing spinal manipulation remains poor, a finding that is also present in the wider published medical literature discussing adverse events (49-52). Despite an overall increase in the number of studies reporting on adverse events in RCTs involving spinal manipulation (38.0-61.0%, 2016 (26) to current), adverse events reporting in the results section has decreased (93.6% vs 88.3%) over the past 6 years and remains lower than that in the wider published literature (50,53). It is unknown why there would be a decrease in the reporting on adverse events associated with spinal manipulation in the one section of a scientific manuscript that it could reasonably be expected to be reported. Furthermore, an important source of information for the formulation of a considered evidence-based risk-benefit analysis for the use of spinal manipulation as a treatment option by both clinician and patient (49,52) is transparent data reporting on both the efficacy and adverse events occurring in RCTs involving spinal manipulation. 

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Consistent with the literature (31,32,42,43,47), there was considerable heterogeneity of nomenclature used to describe adverse events associated with spinal manipulation. Similar terms were used to indicate an adverse event in the current (compared to 2016) review: "adverse event" (2016 - 73.0%); 2022 – 74.5% of studies), "adverse effect" (23.6%; 23.4%), "side effect" (21.3%; 20.2%) and "harm" (16.4%; 11.7%). Additionally, while similar terms were used to describe classification systems previously reported (i.e., "serious", "mild", "moderate", and "severe"), these terms were rarely defined, which is consistent with the existing literature (26,52). Additionally, when present, the reporting of onset and duration of adverse events was inconsistent, again highlighting that there is an urgent need for the development of a standardized definition and classification system for the reporting of adverse events (41). Furthermore, the responsibility for improved reporting of adverse events falls not only to authors but also to custodians of clinical trial registries and journal editors to ensure that there are provisions in study protocols for the adequate capture of adverse events and also that these events are adequately reported i.e., using the most recent CONSORT Harms extension guidelines (24), alongside efficacy/effectiveness data (25,46,54). 

Manuscript reviewers and journal editors must be aware of the current best-practices for the reporting of harms (24) and enforce these guidelines during peer review processes of both protocol and end-ofstudy results papers. However, this may not be as straight-forward as it appears. Despite this, there is a need for improved reporting of adverse events in RCTs that include spinal manipulation as an intervention and a first step would be for journals to incorporate clear instructions on harms reporting in their guidelines and instructions to authors. As a second step, journal editors may facilitate this process by limiting publication to only those studies that adhere to the current guidelines for the reporting of harms in RCTs that include spinal manipulation as an intervention. Indeed, if this was to occur, authors would need to 'step-up', to use expanded methodologies, reporting and statistical analyses that allow for the capture and reporting of adverse events data in RCTs that include spinal manipulation as an intervention. Specifically, data on adverse events should be actively collected as it has been reported that passive surveillance leads to an under-reporting (25,54) and appropriate

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statistical analysis plans should be used to analyse the data (49,54,55). As a minimum standard, authors should explicitly state whether active or passive surveillance systems were used (46,49).

RCTs published in journals with a higher impact factor, in which spinal manipulation was delivered by a chiropractor and to multiple/unclear regions, were more likely to report on adverse events. While it is perhaps intuitive that better designed studies, i.e., those at a lower risk of bias, could reasonably be published in higher impact journals, this does not appear to be the case as there was no influence of risk of bias level in the final model. This disconnect between the publication of studies with better methodological quality in higher impact journals is also seen in the medical literature. Specifically, a previous study reported that there were methodological weaknesses in 184 studies published in 2015-2016 by four of the top ranked general medical journals (BMJ, JAMA, Lancet, and NEJM) (54). Furthermore, while there is no obvious reason why studies in which spinal manipulation was delivered by a chiropractor would be more likely to report on adverse events, it is possible that this finding could be explained by a desire to provide evidence to refute critics of the intervention who claim that spinal manipulation, specifically when delivered to the cervical spine, is unsafe (56,57). This hypothesis is suggested by the data which shows that while not achieving statistical significance, studies in which cervical spine manipulation was delivered had approximately 3 times greater odds of reporting on adverse events. It is possible that this result did not achieve statistical significance due to the relatively small number of studies reporting on manipulation delivered only to the cervical spine. Regarding the increased likelihood of studies reporting on adverse events if spinal manipulation was delivered to multiple/unclear regions, it is possible that this finding is spurious as there was a larger number of studies (n=49) in this category compared to studies in which the intervention was delivered to a single region. This hypothesis is supported by a secondary analysis of our previous review which reported that the region treated was not a significant predictor for reporting on adverse events (58). 

Due to the methodological design of the review, we are unable to comment on the incidence of
adverse events associated with spinal manipulation. Furthermore, RCTs are not necessarily the best
research design for collecting data on serious adverse events as they often have strict inclusion criteria

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and may exclude participants who are at risk of experiencing such events. Additionally, RCTs are powered to detect intervention effects and thus are likely to be underpowered for estimating the risk of serious adverse events. Despite this, the consistent reporting of the number of spinal manipulations delivered to every participant in RCTs would allow for the calculation of accurate incidence rates for all classifications of adverse events (serious included) and could eventually facilitate the pooling of data across multiple studies thus allowing for a better informed risk-benefit assessment of spinal manipulation (25,46). Indeed, the number of spinal manipulations delivered was only available in 75 (48.7%) of the included studies. Coupled with the implementation of standardized definitions and classification systems for adverse events associated with spinal manipulation, reporting on the number of spinal manipulations delivered in each study would allow for the inter-disciplinary calculation of incidence rates for all classifications across all healthcare professionals delivering the intervention. Such an outcome is extremely important in the context of obtaining informed consent to deliver spinal manipulation. Specifically, in many countries in which spinal manipulation is delivered, the process of obtaining informed consent requires the disclosure of all material information that a reasonable patient would require to make an informed decision about whether or not to receive that intervention (59). In the absence of accurate incidence rates for the different classifications of adverse events associated with spinal manipulation, this is a difficult task for the clinician to perform. 

There are several differences between the current review and our 2016 review (26). Specifically, the current review included an improved search strategy, including both an expansion to the number of databases searched (i.e., MEDLINE (Ovid), Embase, CINAHL and ICL were added) in addition to the inclusion of several search terms that did not limit the search to spinal manipulation delivered by chiropractors and osteopaths (i.e., physiotherapists, naprapaths and medical manipulation were added). Additionally, the current review reports on analyses that we had previously reported separately in two manuscripts: the original review (26) and a secondary analysis (58). By reporting these analyses in a single manuscript, we hope it is clearer for readers to identify that the current level of reporting of adverse events associated with spinal manipulation in RCTs is both poor and not consistent with established standards, and understand the possible explanations for this observation. 

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 By streamlining the dissemination of this information, we hope to make it easier for readers to
 identify areas in which researchers may improve the reporting of adverse events in this field.

#### 4 Limitations

There are several limitations to this literature review. Firstly, the decision to classify the reporting of adverse events as 'direct' (explicit description of operational definition of an adverse event provided and/or how data on adverse events were measured and/or a substantial description of adverse events observed during data collection provided) as opposed to 'indirect' (no explicit reporting of such information) was arbitrary. However, this classification did not influence whether the study reported on adverse events or not. As such, we do not feel this factor had any material influence on our results. Secondly, as outlined above, small differences in the methodology between the current and previous reviews (26,58) mean that it is not possible to directly compare all reported findings between the two reviews. However, as these differences occurred due to methodological improvements in the current review, we do not believe this affected the results and/or discussion in the current review.

### 15 CONCLUSION

While the current level of reporting of adverse events associated with spinal manipulation in RCTs has increased since our 2016 publication on the same topic, the level remains low and inconsistent with established standards. As such, it is imperative for authors, journal editors and administrators of clinical trial registries to ensure there is more balanced reporting of both benefits and harms of spinal manipulation in RCTs. We strongly recommend that authors adhere to the most recent CONSORT Harms checklist when reporting their results and advocate for the creation of standardized definitions and classification systems relating to adverse events in manual therapy. This will facilitate the future pooling of adverse events data across all professions utilizing spinal manipulation and improve the ability to calculate incidence rates for the different levels of adverse events.

# **1 AUTHOR CONTRIBUTIONS**

- 2 LG: conceptualization, screening, risk of bias assessment, data extraction and curation, formal
- 3 analysis, methodology, project administration, visualization, writing original draft, review & editing
- 4 RL: data extraction and curation, formal analysis, methodology, visualization, writing original draft,
- 5 review & editing
- 6 BB: screening, risk of bias assessment, writing review & editing
- 7 RE: screening, risk of bias assessment, methodology, writing review & editing

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10 search.

# 11 DATA SHARING STATEMENT

12 Data are available from the corresponding author upon reasonable request.

# **13 REFERENCE STRENGTHS AND LIMITATIONS OF THE**

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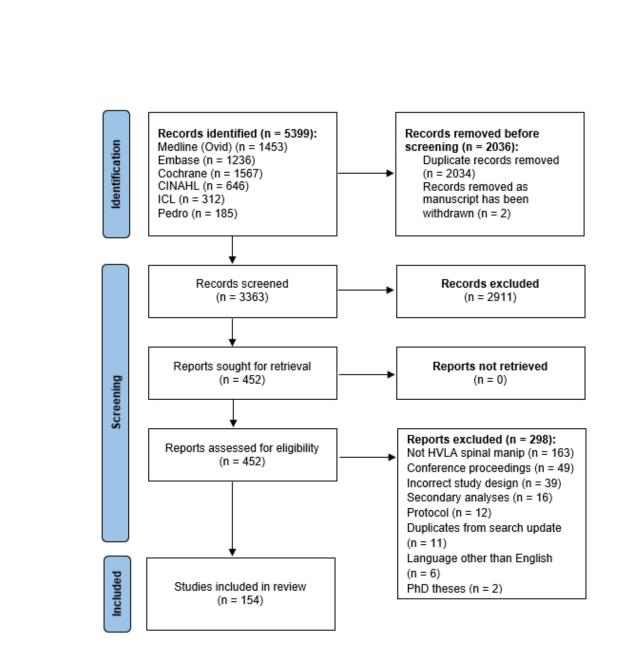


Figure 1: PRISMA flow-chart

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# Appendix 1:

# MEDLINE (Ovid) search strategy

| Searches   |  |  |
|--|--|--|
| ((spine or spinal or medical) adj3 manip*).ti,ab,kw.   |  |  |
| (osteopath* or chiropract* or naprapath* or ((physiotherap* or (physical adj3 therap*)) and            |  |  |
| manip*)).ti,ab,kw.   |  |  |
| Manipulation, Chiropractic/ or Manipulation, Spinal/ or Musculoskeletal Manipulations/ or              |  |  |
| Manipulation, Osteopathic/   |  |  |
| 1 or 2 or 3  |  |  |
| ((randomized controlled trial or controlled clinical trial).pt. or randomized.ab. or randomised.ab. or |  |  |
| placebo.ab. or drug therapy.fs. or randomly.ab. or trial.ab. or groups.ab.) not (exp animals/ not      |  |  |
| humans.sh.)  |  |  |
| 4 and 5  |  |  |
| limit 6 to yr="2016 -Current"  |  |  |
|  |  |  |

# **CINAHL** search strategy

|            | Query  | Limiters/expanders  |
|------------|--|---|
| 1          | TI ((spine OR spinal OR medical) N3 manip*) OR AB ((spine OR spinal OR medical) N3 manip*)   | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S2         | TI (osteopath* OR chiropract* OR naprapath*) OR AB (osteopath* OR chiropract* OR naprapath*) OR TI (((physiotherap* OR (physical N3 therap*)) AND manip*) OR AB (((physiotherap* OR (physical N3 therap*)) AND manip*)   | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| <b>S</b> 3 | (MH "Manipulation, Chiropractic") OR (MH "Manipulation, Osteopathic")  | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S4         | S1 OR S2 OR S3   | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S5         | (MH randomized controlled trials OR MH double-blind studies OR MH<br>single-blind studies OR MH random assignment OR MH pretest-posttest<br>design OR MH cluster sample OR TI (randomised OR randomized) OR AB<br>(random*) OR TI (trial) OR (MH (sample size) AND AB (assigned OR<br>allocated OR control)) OR MH (placebos) OR PT (randomized controlled<br>trial) OR AB (control W5 group) OR MH (crossover design) OR MH<br>(comparative studies) OR AB (cluster W3 RCT)) NOT ((MH animals+ OR<br>MH (animal studies) OR TI (animal model*)) NOT MH (human)) | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| <b>S</b> 6 | S4 AND S5  | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S7         | S4 AND S5  | Limiters - Published<br>Date: 20160101-<br>Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms |

## **Cochrane Library search strategy**

|     | Advanced search  | Limits   |
|-----|--|--|
| #1  | ((spine OR spinal OR medical) NEAR/3 manip*):ti,ab,kw                                |  |
| #2  | MeSH descriptor: [Musculoskeletal Manipulations] this term only                      |  |
| #3  | MeSH descriptor: [Manipulation, Spinal] explode all trees                            |  |
| #4  | MeSH descriptor: [Manipulation, Chiropractic] explode all trees                      |  |
| #5  | MeSH descriptor: [Manipulation, Osteopathic] explode all trees                       |  |
| #6  | osteopath*:ti,ab,kw  |  |
| #7  | chiropract*:ti,ab,kw Limits 1160 - +   |  |
| #8  | physiotherap*:ti,ab,kw OR (physical NEAR/3 therap*):ti,ab,kw)<br>AND manip*:ti,ab,kw |  |
| #9  | naprapath*:ti,ab,kw  |  |
| #10 | #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9                                   | in Trials  |
| #11 | #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9                                   | with Publication Year from 2016 to 2022, in Trials |

## Embase search strategy

|          | Query  |
|----------|--|
| #1       | ((spine OR spinal OR medical) NEAR/3 manip*):ti,ab,kw  |
| #2       | osteopath*:ti,ab,kw OR chiropract*:ti,ab,kw OR naprapath*:ti,ab,kw OR ((physiotherap*:ti,ab,kw OR ((physical NEAR/3 therap*):ti,ab,kw)) AND manip*:ti,ab,kw  |
| #3       | 'chiropractic manipulation'/de OR 'musculoskeletal manipulation'/de OR 'spine manipulation'/de OR 'osteopathic manipulation'/de  |
| #4       | #1 OR #2 OR #3   |
| #5       | ('randomized controlled trial'/de OR 'controlled clinical trial'/de OR random*:ti,ab OR<br>'randomization'/de OR 'intermethod comparison'/de OR placebo:ti,ab OR compare:ti OR compared:ti<br>OR comparison:ti OR ((evaluated:ab OR evaluate:ab OR evaluating:ab OR assessed:ab OR assess:ab)<br>AND (compare:ab OR compared:ab OR comparing:ab OR comparison:ab)) OR ((open NEAR/1<br>label):ti,ab) OR (((double OR single OR doubly OR singly) NEAR/1 (blind OR blinded OR<br>blindly)):ti,ab) OR 'double blind procedure'/de OR 'parallel group*':ti,ab OR crossover:ti,ab OR 'cross<br>over':ti,ab OR (((assign* OR match OR matched OR allocation) NEAR/5 (alternate OR group* OR<br>intervention* OR patient* OR subject* OR participant\$)):ti,ab) OR volunteer:ti,ab OR volunteers:ti,ab OR<br>'human experiment'/de OR trial:ti) NOT ((((random* NEAR/1 sampl* NEAR/7 ('cross section*' OR<br>questionnaire\$ OR survey* OR database\$)):ti,ab) NOT ('comparative study'/de OR 'controlled<br>study'/de OR 'randomized controlled':ti,ab OR 'randomised controlled':ti,ab OR 'controlled<br>clinical trial'/de OR 'controlled study'/de NOT ('randomized controlled':ti,ab OR 'randomised<br>controlled':ti,ab OR 'controlled study'/de OR 'randomised controlled':ti,ab OR 'randomised<br>controlled':ti,ab OR 'controlled study'/de OR 'randomised controlled':ti,ab OR (('random<br>cluster' NEAR/3 sampl*):ti,ab) OR (review:ab AND 'review':ti NOT trial:ti) OR ('we searched':ab<br>AND (review:ti OR 'neview':ti)) OR 'update review':ab OR ((databases NEAR/4 searched):ab) OR<br>((rat:ti OR rats:ti OR mouse:ti OR mice:ti OR swine:ti OR porcine:ti OR murine:ti OR sheep:ti OR<br>lambs:ti OR pigs:ti OR piglets:ti OR rabbit:ti OR rabbits:ti OR cats:ti OR dog:ti OR dog:ti OR<br>lambs:ti OR pigs:ti OR piglets:ti OR monkey:ti OR monkey:ti OR trout:ti OR marmoset\$;ti) AND 'animal |
| щс       | experiment/de) OR ('animal experiment'/de NOT ('human experiment'/de OR 'human'/de)))  |
| #6       | #4 AND #5<br>#4 AND #5 AND [conference electroct]/lim  |
| #7<br>#8 | #4 AND #5 AND [conference abstract]/lim<br>#4 AND #5 NOT [conference abstract]/lim   |
| #8<br>#9 | #4 AND #5 NOT [conference abstract]/lim<br>#4 AND #5 NOT [conference abstract]/lim AND [2016 2022]/m   |
| #9       | #4 AND #5 NOT [conference abstract]/lim AND [2016-2022]/py   |

# ICL search strategy

|            | Query   |  |
|------------|---|--|
| <b>S</b> 1 | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR Subject:\"Manipulation, Osteopathic\"   |  |
| S2         | All Fields:spine OR All Fields:spinal OR All Fields:physiotherap*   |  |
| <b>S</b> 3 | All Fields:\"physical therapy\" OR All Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All Fields:spinal   |  |
| S4         | All Fields:manip*   |  |
| S5         | All Fields:spine OR All Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All Fields:spinal  |  |
| S6         | All Fields:manip* AND All Fields:spine OR All Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All Fields:\"physical therapist\" OR All Fields:\"physical therapist\" OR All Fields:spine OR All Fields:spinal   |  |
| S7         | All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*  |  |
| S8         | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR<br>Subject:\"Manipulation, Osteopathic\" OR All Fields:manip* AND All Fields:spine OR All<br>Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All<br>Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All<br>Fields:spinal OR All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*   |  |
| S9         | All Fields:random* OR All Fields:placebo OR All Fields:trial OR All Fields:groups OR All Fields:rct   |  |
| S10        | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR<br>Subject:\"Manipulation, Osteopathic\" OR All Fields:manip* AND All Fields:spine OR All<br>Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All<br>Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All<br>Fields:spinal OR All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*<br>AND All Fields:random* OR All Fields:placebo OR All Fields:trial OR All Fields:groups OR<br>All Fields:rct                               |  |
| S11        | , Year: from 2016 to 2022   |  |
| S12        | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR<br>Subject:\"Manipulation, Osteopathic\" OR All Fields:manip* AND All Fields:spine OR All<br>Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All<br>Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All<br>Fields:spinal OR All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*<br>AND All Fields:random* OR All Fields:placebo OR All Fields:trial OR All Fields:groups OR<br>All Fields:rct AND , Year: from 2016 to 2022 |  |

# **PEDro search strategy**

|            | Search records added since 01/01/2016 |
|------------|---------------------------------------|
| <b>S</b> 1 | spin* AND manip* AND RCT              |
| <b>S</b> 2 | spin* AND manip* AND trial            |
| S3         | spin* AND manip* AND random*          |
| S4         | totally selected                      |

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| Author, year (reference)                       | Overall risk of bias assessment |
|--|---------------------------------|
| Albers et al, 2018 <sup>(1)</sup>              | Some concerns                   |
| Alonso-Perez et al, 2017 <sup>(2)</sup>        | Low risk                        |
| Alvarenga et al, 2018 <sup>(3)</sup>           | Some concerns                   |
| Aspinall et al, 2019 <sup>(4)</sup>            | Low risk                        |
| Balbás-Álvarez et al, 2018 <sup>(5)</sup>      | Low risk                        |
| Bautista-Aguirre et al, 2017 <sup>(6)</sup>    | Some concerns                   |
| Behrangrad & Kamali, 2017 <sup>(7)</sup>       | High risk                       |
| Bernal-Utrera et al, 2020 <sup>(8)</sup>       | High risk                       |
| Fernandes et al, 2016 <sup>(9)</sup>           | High risk                       |
| Boff et al, 2020 <sup>(10)</sup>               | High risk                       |
| Bond et al, 2020 (11)                          | High risk                       |
| Bracht et al, 2018 (12)                        | Some concerns                   |
| Bronfort et al, 2022 <sup>(13)</sup>           | High risk                       |
| Brück et al, 2021 <sup>(14)</sup>              | Some concerns                   |
| Cambron et al, 2017 (15)                       | High risk                       |
| Carrasco-Martínez et al, 2019 <sup>(16)</sup>  | High risk                       |
| Carrasco-Uribarren et al, 2021 <sup>(17)</sup> | High risk                       |
| Castello Branco & Moodley, 2016 (18)           | High risk                       |
| Castro-Sanchez et al, 2016 <sup>(19)</sup>     | Low risk                        |
| Castro-Sanchez et al, 2021 <sup>(20)</sup>     | Low risk                        |
| Chaibi et al, 2017 <sup>(21)</sup>             | High risk                       |
| Cholewicki et al, 2021 (22)                    | High risk                       |
| Corum et al, 2021 <sup>(23)</sup>              | High risk                       |
| Coste et al, 2021 <sup>(24)</sup>              | High risk                       |
| Crothers et al, 2016 <sup>(25)</sup>           | High risk                       |
| de Oliveira et al, 2020 (26)                   | Some concerns                   |
| DeVocht et al, 2019 (27)                       | Low risk                        |
| Didehdar et al, 2020 <sup>(28)</sup>           | High risk                       |
| Dishman et al, 2018 <sup>(29)</sup>            | High risk                       |
| Dissing et al, 2018 <sup>(30)</sup>            | Low risk                        |
| Ditcharles et al, 2017 <sup>(31)</sup>         | Some concerns                   |
| Dorron et al, 2016 <sup>(32)</sup>             | Some concerns                   |
| Dunning et al, 2016 <sup>(33)</sup>            | Low risk                        |
| Dunning et al, 2021 <sup>(34)</sup>            | Some concerns                   |
| Dunning et al, 2021 <sup>(35)</sup>            | Some concerns                   |
| Eklund et al, 2018 <sup>(36)</sup>             | Low risk                        |
|  |                                 |

# Appendix 3: Risk of bias assessment of included studies

Engel et al, 2016 (37)

High risk

| Erdem et al, 2021 <sup>(38)</sup>                   | Some concerns |
|---|---------------|
| Espi-López et al, 2016 (39)                         | High risk     |
| Espi-López et al, 2018 (40)                         | High risk     |
| Espi-López et al, 2016 <sup>(41)</sup>              | Some concerns |
| Espi-López et al, 2016 <sup>(42)</sup>              | High risk     |
| Evans et al, 2018 (43)                              | High risk     |
| Fagundes Loss et al, 2020 <sup>(44)</sup>           | Some concerns |
| Farazdaghi et al, 2018 (45)                         | Low risk      |
| Fisher et al, 2020 (46)                             | High risk     |
| Ford et al, 2019 <sup>(47)</sup>                    | High risk     |
| Fosberg et al, 2020 <sup>(48)</sup>                 | Low risk      |
| Fraix et al, 2021 (49)                              | High risk     |
| Fritz et al, 2021 (50)                              | High risk     |
| Fritz et al, 2021 <sup>(51)</sup>                   | High risk     |
| Galindez-Ibarbengoetxea et al, 2018 (52)            | High risk     |
| Galindez-Ibarbengoetxea et al, 2017 <sup>(53)</sup> | High risk     |
| Galindez-Ibarbengoetxea et al, 2018 <sup>(54)</sup> | High risk     |
| Garcia-Perez-Juana et al, 2018 (55)                 | High risk     |
| Gattie et al, 2021 (56)                             | Some concerns |
| Gesslbauer et al, 2018 <sup>(57)</sup>              | High risk     |
| Ghasabmahaleh et al, 2021 <sup>(58)</sup>           | High risk     |
| Goertz et al, 2017 (59)                             | High risk     |
| Goertz et al, 2016 (60)                             | High risk     |
| Goertz et al, 2016 (61)                             | High risk     |
| Gomez et al, 2020 <sup>(62)</sup>                   | Some concerns |
| Gorrell et al, 2016 <sup>(63)</sup>                 | Some concerns |
| Grimes et al, 2019 (64)                             | Some concerns |
| Griswold et al, 2018 <sup>(65)</sup>                | Some concerns |
| Groisman et al, 2020 <sup>(66)</sup>                | Some concerns |
| Haas et al, 2018 (67)                               | Some concerns |
| Haider et al, 2018 (68)                             | High risk     |
| Haik et al, 2017 (69)                               | High risk     |
| Haleema et al, 2021 <sup>(70)</sup>                 | High risk     |
| Hanney et al, 2017 <sup>(71)</sup>                  | High risk     |
| Hardas & Murrell, 2018 <sup>(72)</sup>              | Some concerns |
| Harihara Prakash et al, 2020 <sup>(73)</sup>        | High risk     |
| Hartstein et al, 2018 <sup>(74)</sup>               | High risk     |
| Holt et al, 2021 <sup>(75)</sup>                    | High risk     |
| Holt et al, 2016 (76)                               | High risk     |

| Javadov et al, 2021 <sup>(77)</sup>         | High risk     |
|---|---------------|
| Joo et al, 2018 (78)                        | High risk     |
| Jordon et al, 2017 (79)                     | High risk     |
| Joshi et al, 2020 (80)                      | High risk     |
| Kachmar et al, 2018 <sup>(81)</sup>         | Some concerns |
| Kamali et al, 2019 (82)                     | Low risk      |
| Karas et al, 2018 <sup>(83)</sup>           | High risk     |
| Kendall et al, 2018 (84)                    | High risk     |
| Laframboise et al, 2016 <sup>(85)</sup>     | High risk     |
| Langenfeld et al, 2018 <sup>(86)</sup>      | Some concerns |
| Lee & Kim, 2016 <sup>(87)</sup>             | High risk     |
| Lim et al, 2019 <sup>(88)</sup>             | High risk     |
| Lisi et al, 2019 <sup>(89)</sup>            | High risk     |
| Lohman et al, 2019 (90)                     | High risk     |
| Lopez-de-Uralde-Villanueva et al, 2020 (91) | High risk     |
| Lopez-de-Uralde-Villanueva et al, 2018 (92) | Some concerns |
| Lorenzo et al, 2019 <sup>(93)</sup>         | High risk     |
| Luceno-Mardones et al, 2021 (94)            | High risk     |
| Lynen et al, 2022 <sup>(95)</sup>           | High risk     |
| Lynge et al, 2021 <sup>(96)</sup>           | Some concerns |
| Maiers et al, 2019 <sup>(97)</sup>          | Some concerns |
| Marske et al, 2018 (98)                     | High risk     |
| McCarthy et al, 2019 <sup>(99)</sup>        | High risk     |
| Minarini et al, 2018 (100)                  | High risk     |
| Mintken et al, 2016 (101)                   | High risk     |
| Moodley & Craig, 2020 (102)                 | High risk     |
| Motealleh et al, 2020 <sup>(103)</sup>      | High risk     |
| Motealleh et al, 2016 <sup>(104)</sup>      | High risk     |
| Moustafa et al, 2016 <sup>(105)</sup>       | High risk     |
| Munoz-Gomez et al, 2021 (106)               | Some concerns |
| Nambi et al, 2018 (107)                     | Some concerns |
| Nejati et al, 2019 (108)                    | Some concerns |
| Nogueira et al, 2020 <sup>(109)</sup>       | Some concerns |
| Paanalahti et al, 2016 <sup>(110)</sup>     | High risk     |
| Page & Descarreaux, 2019 (111)              | High risk     |
| Papa et al, 2017 (112)                      | High risk     |
| Paredes et al, 2020 (113)                   | High risk     |
| Pascual-Vaca et al, 2017 (114)              | High risk     |
| Passmore et al, 2019 <sup>(115)</sup>       | High risk     |

| Penza et al, 2017 (116)                           | Some concerns |
|---|---------------|
| Petrozzi et al, 2019 <sup>(117)</sup>             | Low risk      |
| Qu et al, 2016 (118)                              | High risk     |
| Qu et al, 2018 (119)                              | Some concerns |
| Reynolds et al, 2020 (120)                        | High risk     |
| Rist et al, 2021 (121)                            | High risk     |
| Rodrigues et al, 2021 (122)                       | High risk     |
| Rodriguez-Sanz et al, 2020 (123)                  | High risk     |
| Rodriguez-Sanz et al, 2021 (124)                  | Some concerns |
| Romero Del Rey et al, 2022 (125)                  | Some concerns |
| Rose et al, 2017 (126)                            | High risk     |
| Sampath et al, 2017 (127)                         | High risk     |
| Sarker et al, 2019 (128)                          | Some concerns |
| Schulz et al, 2019 (129)                          | Some concerns |
| Shin & Lee, 2016 (130)                            | Some concerns |
| Silva et al, 2019 (131)                           | Some concerns |
| Simoni et al, 2021 (132)                          | High risk     |
| Soal et al, 2019 (133)                            | High risk     |
| Sparks et al, 2017 (134)                          | Some concerns |
| Stepnik et al, 2020 <sup>(135)</sup>              | High risk     |
| Sueki et al, 2020 (136)                           | High risk     |
| Telles et al, 2021 (137)                          | Some concerns |
| Thomas et al, 2020 (138)                          | High risk     |
| Vaden et al, 2020 (139)                           | High risk     |
| Valenzuela et al, 2019 (140)                      | Some concerns |
| Valera-Calero et al, 2019 (141)                   | Some concerns |
| Vilas Boas Fernandes et al, 2016 <sup>(142)</sup> | Some concerns |
| Vining et al, 2020 (143)                          | Some concerns |
| Vinuesa-Montoya et al, 2017 (144)                 | Some concerns |
| Wang et al, 2019 (145)                            | High risk     |
| Wang et al, 2020 (146)                            | High risk     |
| Ward et al, 2018 <sup>(147)</sup>                 | High risk     |
| Wright et al, 2017 (148)                          | Some concerns |
| Xia et al, 2016 <sup>(149)</sup>                  | High risk     |
| Yao et al, 2020 <sup>(150)</sup>                  | High risk     |
| Younes et al, 2017 (151)                          | High risk     |
| Young et al, 2019 (152)                           | High risk     |
| Zafereo et al, 2018 <sup>(153)</sup>              | Some concerns |
| Zago et al, 2021 <sup>(154)</sup>                 | High risk     |

# PRISMA 2020 Checklist

| 5<br>4<br>5    | Section and<br>Topic          | ltem<br># | Checklist item   | Location<br>where item<br>is reported |
|----------------|-------------------------------|-----------|--|---------------------------------------|
| 6              | TITLE                         |           |  |                                       |
| 7              | Title                         | 1         | Identify the report as a systematic review.  | P1                                    |
| 8              | ABSTRACT                      |           |  |                                       |
| 9              | Abstract                      | 2         | See the PRISMA 2020 for Abstracts checklist.   | P2-3                                  |
| 10             | INTRODUCTION                  | 1         |  |                                       |
| 17             | Rationale                     | 3         | Describe the rationale for the review in the context of existing knowledge.  | P5                                    |
| 13             | Objectives                    | 4         | Provide an explicit statement of the objective(s) or question(s) the review addresses.   | P5                                    |
| 14             | METHODS                       |           |  |                                       |
| 15             | Eligibility criteria          | 5         | Specify the inclusion and exclusion criteria for the review and how studies were grouped for the syntheses.  | P6-8                                  |
| 16<br>17       | Information<br>sources        | 6         | Specify all databases, registers, websites, organisations, reference lists and other sources searched or consulted to identify studies. Specify the date when each source was last searched or consulted.  | P7                                    |
| 18             | Search strategy               | 7         | Present the full search strategies for all databases, registers and websites, including any filters and limits used.   | Appendix1                             |
| 19<br>20       | Selection process             | 8         | Specify the methods used to decide whether a study met the inclusion criteria of the review, including how many reviewers screened each record and each report retrieved, whether they worked independently, and if applicable, details of automation tools used in the process.                     | P7-8                                  |
| 21<br>22<br>23 | Data collection process       | 9         | Specify the methods used to collect data from reports, including how many reviewers collected data from each report, whether they worked independently, any processes for obtaining or confirming data from study investigators, and if applicable, details of automation tools used in the process. | P7-8                                  |
| 24<br>25       | Data items                    | 10a       | List and define all outcomes for which data were sought. Specify whether all results that were compatible with each outcome domain in each study were sought (e.g. for all measures, time points, analyses), and if not, the methods used to decide which results to collect.                        | P8                                    |
| 27             |                               | 10b       | List and define all other variables for which data were sought (e.g. participant and intervention characteristics, funding sources). Describe any assumptions made about any missing or unclear information.   | P8                                    |
| 29<br>30       | Study risk of bias assessment | 11        | Specify the methods used to assess risk of bias in the included studies, including details of the tool(s) used, how many reviewers assessed each study and whether they worked independently, and if applicable, details of automation tools used in the process.                                    | P8                                    |
| 31             | Effect measures               | 12        | Specify for each outcome the effect measure(s) (e.g. risk ratio, mean difference) used in the synthesis or presentation of results.  | P8                                    |
| 32<br>33       | Synthesis<br>methods          | 13a       | Describe the processes used to decide which studies were eligible for each synthesis (e.g. tabulating the study intervention characteristics and comparing against the planned groups for each synthesis (item #5)).   | P8                                    |
| 34<br>35       |                               | 13b       | Describe any methods required to prepare the data for presentation or synthesis, such as handling of missing summary statistics, or data conversions.  | P8                                    |
| 36             |                               | 13c       | Describe any methods used to tabulate or visually display results of individual studies and syntheses.   | P8                                    |
| 37<br>38       |                               | 13d       | Describe any methods used to synthesize results and provide a rationale for the choice(s). If meta-analysis was performed, describe the model(s), method(s) to identify the presence and extent of statistical heterogeneity, and software package(s) used.  | P8                                    |
| 39<br>40       |                               | 13e       | Describe any methods used to explore possible causes of heterogeneity among study results (e.g. subgroup analysis, meta-regression).   |                                       |
| 40             |                               | 13f       | Describe any sensitivity analyses conducted to assess robustness of the synthesized results.   |                                       |
| 42             | Reporting bias assessment     | 14        | Describe any methods used to assess risk of bias due to missing results in a synthesis (arising from reporting biases).  | P8                                    |
| 44<br>45       | Certainty<br>assessment       | 15        | Describe any methods used to assess certainty (or confidence) in the body of evidence for an outcome.<br>For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml   | P8                                    |

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# **PRISMA 2020 Checklist**

| Section and<br>Topic                                 | ltem<br># | Checklist item   | Location<br>where item<br>is reported |  |  |
|--|-----------|--|---------------------------------------|--|--|
| RESULTS  |           |  |                                       |  |  |
| Study selection                                      | 16a       | Describe the results of the search and selection process, from the number of records identified in the search to the number of studies included in the review, ideally using a flow diagram.   | P8-9                                  |  |  |
|  | 16b       | Cite studies that might appear to meet the inclusion criteria, but which were excluded, and explain why they were excluded.  | P8                                    |  |  |
| Study<br>characteristics                             | 17        | Cite each included study and present its characteristics.  | Appendix2                             |  |  |
| Risk of bias in studies                              | 18        | Present assessments of risk of bias for each included study.   | Appendix                              |  |  |
| Results of individual studies                        | 19        | For all outcomes, present, for each study: (a) summary statistics for each group (where appropriate) and (b) an effect estimate and its precision (e.g. confidence/credible interval), ideally using structured tables or plots.   | P9-12                                 |  |  |
| Results of   | 20a       | For each synthesis, briefly summarise the characteristics and risk of bias among contributing studies.   | P9-12                                 |  |  |
| syntheses  | 20b       | Present results of all statistical syntheses conducted. If meta-analysis was done, present for each the summary estimate and its precision (e.g. confidence/credible interval) and measures of statistical heterogeneity. If comparing groups, describe the direction of the effect. | P9-12                                 |  |  |
| )  | 20c       | Present results of all investigations of possible causes of heterogeneity among study results.   |                                       |  |  |
|  | 20d       | Present results of all sensitivity analyses conducted to assess the robustness of the synthesized results.   |                                       |  |  |
| Reporting biases                                     | 21        | Present assessments of risk of bias due to missing results (arising from reporting biases) for each synthesis assessed.  |                                       |  |  |
| Certainty of evidence                                | 22        | Present assessments of certainty (or confidence) in the body of evidence for each outcome assessed.  |                                       |  |  |
| DISCUSSION   |           |  |                                       |  |  |
| Discussion   | 23a       | Provide a general interpretation of the results in the context of other evidence.  | P12-16                                |  |  |
| 3  | 23b       | Discuss any limitations of the evidence included in the review.  | P12-16                                |  |  |
|  | 23c       | Discuss any limitations of the review processes used.  | P16                                   |  |  |
|  | 23d       | Discuss implications of the results for practice, policy, and future research.   | P16-17                                |  |  |
| 31<br>32 OTHER INFORMATION                           |           |  |                                       |  |  |
| Registration and                                     | 24a       | Provide registration information for the review, including register name and registration number, or state that the review was not registered.   | P3                                    |  |  |
| protocol   | 24b       | Indicate where the review protocol can be accessed, or state that a protocol was not prepared.   | P3                                    |  |  |
|  | 24c       | Describe and explain any amendments to information provided at registration or in the protocol.  | P3                                    |  |  |
| Support  | 25        | Describe sources of financial or non-financial support for the review, and the role of the funders or sponsors in the review.  | P3                                    |  |  |
| Competing<br>interests                               | 26        | Declare any competing interests of review authors.   | P4                                    |  |  |
| Availability of<br>data, code and<br>other materials | 27        | Report which of the following are publicly available and where they can be found: template data collection forms; data extracted from included studies; data used for all analyses; analytic code; any other materials used in the review.   |                                       |  |  |

44 From: Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. BMJ 2021;372:n71. doi: 45 10.1136/bmj.n71 For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml For more information, visit: <u>http://www.prisma-statement.org/</u>

**BMJ** Open

# **BMJ Open**

# The reporting of adverse events associated with spinal manipulation in randomized clinical trials: an updated systematic review

| Journal:                             | BMJ Open  |
|--------------------------------------|---|
| Manuscript ID                        | bmjopen-2022-067526.R2  |
| Article Type:                        | Original research   |
| Date Submitted by the Author:        | 03-Apr-2023   |
| Complete List of Authors:            | Gorrell, Lindsay; Balgrist University Hospital, Integrative Spinal Research<br>Group, Department of Chiropractic Medicine<br>Brown, Benjamin T.; Macquarie University, Department of Chiropractic<br>Engel, Roger; Macquarie University, Department of Chiropractic<br>Lystad, Reidar; Macquarie University, Australian Institute of Health<br>Innovation |
| <b>Primary Subject<br/>Heading</b> : | Rehabilitation medicine   |
| Secondary Subject Heading:           | Rehabilitation medicine   |
| Keywords:                            | Back pain < ORTHOPAEDIC & TRAUMA SURGERY, Musculoskeletal<br>disorders < ORTHOPAEDIC & TRAUMA SURGERY, Spine < ORTHOPAEDIC<br>& TRAUMA SURGERY, Adverse events < THERAPEUTICS, Clinical trials <<br>THERAPEUTICS, REHABILITATION MEDICINE   |
|                                      |   |

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# THE REPORTING OF ADVERSE EVENTS ASSOCIATED WITH SPINAL MANIPULATION IN RANDOMIZED CLINICAL TRIALS: AN UPDATED SYSTEMATIC REVIEW

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# ABSTRACT

# **Objectives**

3 To describe if there has been a change in the reporting of adverse events associated with spinal

4 manipulation in randomized controlled trials (RCTs) since 2016.

# 5 Design

6 Systematic literature review.

# **Data sources**

B Databases were searched from March 2016 to May 2022: MEDLINE (Ovid), Embase, CINAHL, ICL,
PEDro and Cochrane Library. The following search terms and their derivatives were adapted for each
platform: *spinal manipulation; chiropractic; osteopathy; physiotherapy; naprapathy; medical manipulation; clinical trial.*

# 12 Methods

13 Domains of interest (pertaining to adverse events) included: completeness and location of reporting;

14 nomenclature and description; spinal location and practitioner delivering manipulation;

15 methodological quality of the studies; and details of the publishing journal. Frequencies and

16 proportions of studies reporting on each of these domains were calculated. Univariable and

17 multivariable logistic regression models were fitted to examine the effect of potential predictors on

18 the likelihood of studies reporting on adverse events.

# **Results**

There were 5,399 records identified by the electronic searches, of which 154 (2.9%) were included in the analysis. Of these, ninety-four (61.0%) reported on adverse events with only 23.4% providing an explicit description of what constituted an adverse event. Reporting of adverse events in the abstract has increased (n= 29, 30.9%) while reporting in the results section has decreased (n= 83, 88.3%) over the past 6 years. Spinal manipulation was delivered to 7,518 participants in the included studies. No serious adverse events were reported in any of these studies.

#### Conclusions

While the current level of reporting of adverse events associated with spinal manipulation in RCTs has increased since our 2016 publication on the same topic, the level remains low and inconsistent with established standards. As such, it is imperative for authors, journal editors and administrators of clinical trial registries to ensure there is more balanced reporting of both benefits and harms in RCTs

involving spinal manipulation.

#### **ARTICLE SUMMARY**

#### Strengths and limitations of this review

- This systematic review is reported following the Preferred Reporting Items for Systematic •
- Reviews and Meta-Analysis guidelines (1)
- The search strategy was inclusive of professions that deliver spinal manipulation
- The search included several databases relevant to manual therapy •
  - Due to heterogeneity of reporting of adverse events, only descriptive statistics were used to • describe domains of interest

#### PROTOCOL

https://www.crd.york.ac.uk/prospero/display record.php?RecordID=270543 

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- This review received no specific grant from any funding agency in the public, commercial or not-for-
- profit sectors.

#### **CONFLICT OF INTEREST**

The authors declare no conflicts of interest. 

#### WORD COUNT

# 1 KEYWORDS

2 Adverse events; Harms; Literature review; Manipulation, spinal; Randomized controlled trials; Spinal

3 manipulative therapy.

for peer teriew only

# INTRODUCTION

 The use of high-velocity, low-amplitude spinal manipulation to treat spinal pain and dysfunction is recommended in clinical and best practice guidelines (1-4) and is commonly used by several healthcare professions (5–7). Despite this, concerns remain surrounding adverse events following the intervention (8.9). Adverse events associated with spinal manipulation are typically benign, transient, and do not require further treatment (10). Indeed, some authors classify increased muscle soreness or stiffness in the treatment area as an 'expected outcome of treatment' rather than an adverse event (11). At the other end of the spectrum, catastrophic events, such as vertebral artery dissection, have been temporally associated with spinal manipulation (12). However, such events are rare, and as a result, are typically reported in individual case reports or case series with little to no information regarding the intervention that was delivered (13). Indeed, synthesis of the current literature suggests that there is no evidence for cervical spine manipulation causing cervical artery dissection (14). Additionally, several large population-based studies have reported that there is no difference in risk of cervical artery dissection following visits to a chiropractor compared to those occurring following a visit to a primary care provider (15,16) or, in those who received cervical spinal manipulation compared to matched controls (17,18). Furthermore, recent biomechanical studies report that head angular displacements and vertebral artery length changes are small during cervical spine manipulation thrusts (19) and that the vertebral artery does not experience longitudinal force during cervical spine manipulation (20). Despite this literature, the serious nature of such events that are temporally associated with cervical spine manipulation makes it imperative that the circumstances surrounding such events are reported transparently. Randomized clinical trials (RCTs) are the gold standard study design for measuring effectiveness (benefit/s) of interventions for the treatment of spinal pain and dysfunction. However, as the risks of an intervention are also important to both patients and practitioners, RCTs should report on not only

the efficacy of spinal manipulation, but also any adverse events associated with the intervention. The

- 26 Consolidated Standards of Reporting Trials (CONSORT) statement, first published in 1996 with
- several updates since, provides the scientific community (specifically researchers and journal editors)
   60

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with a scaffold to standardize and improve the quality of RCT reporting (21–23). The CONSORT statement acknowledges the importance of reporting adverse events alongside effectiveness data. The 2004 Harms extension document (24) provides specific recommendations for how and where these data should be included in scientific manuscripts. However, reporting of adverse events in RCTs in the wider medical literature remains insufficient since the publication of the 2004 extension (25), a finding that is also evident in RCTs that involve spinal manipulation (26). Thus, the objective of this review was to describe if there has been a change in the reporting of adverse events associated with spinal manipulation in RCTs since 2016.

# 9 METHODOLOGY

This systematic literature review is reported following the Preferred Reporting Items for Systematic
Reviews and Meta-Analysis guidelines (27).

# **Definitions**

Spinal manipulation was defined as a manual procedure involving a high-velocity, low-amplitude
(HVLA) thrust delivered to a spinal joint with the intention of moving the joint past its physiological
range of motion but without exceeding the anatomic limit (28). For the purposes of this review, spinal
manipulation delivered using drop-piece-table and mechanical implements (e.g. Activator instrument)
were considered HVLA procedures (29).
An adverse event was defined as any unfavourable reaction with a temporal association to spinal

19 manipulation that resulted in an alteration in a participant's activities of daily living (30,31),

20 irrespective of the timing of onset, duration, or severity of the event (32).

21 A serious adverse event was defined as any unfavourable sign, symptom, or disease temporally

associated with the treatment, whether or not caused by the treatment that results in death or is life-

threatening or results in inpatient hospitalization or prolongation of existing hospitalization for more

than 24 hours with a persistent or significant incapacity or substantial disruption of the ability to

<sup>5</sup> 25 conduct normal life functions (30).

- 26 To be classified as reporting on adverse events "directly", a study must have provided explicit
- description of their operational definition of an adverse event (e.g. "In the current study, an adverse

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# 1 event was defined as a sequelae of 1-week duration with any symptom perceived as distressing and

- 2 unacceptable to the patient that required further treatment [excerpt from reference 63]." (33)), and/or
- 3 how data on adverse events were measured (e.g. "Active and passive surveillance methods were used
  - 4 to collect information on adverse events." (34)), and/or provide a substantial description of adverse
- 5 events observed during data collection (35,36). In contrast, all other studies reporting on adverse
- 6 events "indirectly" did not explicitly provide such information.

# 7 Patient and public involvement

8 No patients were involved in this systematic literature review.

# **Ethics approval**

10 Ethics approval was not required for this systematic literature review.

# 11 Eligibility criteria

Consistent with the 2016 review (26), RCTs reporting original data on spinal manipulation as either the sole intervention, or as the sole intervention in a comparator group, delivered by any regulated health professional, and published in English, were eligible for inclusion. Studies reporting on reviews, other trial designs, trial registrations, protocols, commentaries, editorials and conference proceedings were excluded. Further exclusion criteria included retracted articles, secondary analyses, studies in which the full text was not available in English, and studies where manipulation was only applied to an area other than the spine. Studies were also excluded if it was unclear if the intervention being delivered involved an HVLA manipulation.

# 20 Search strategy

- 21 The following databases were searched from 1 March 2016 to 12 May 2022: MEDLINE (Ovid),
- 22 Embase, CINAHL, ICL, PEDro and Cochrane Library. Reference lists of included studies were
- 23 screened to insure all relevant literature was captured. The following search terms and derivatives
- 24 were adapted for each platform: *spinal manipulation; chiropractic; osteopathy; physiotherapy;*
- *naprapathy; medical manipulation; clinical trial.* An example of each search strategy is provided in
- 26 Appendix 1.

# 60 27 Study selection process

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Records retrieved from the electronic searches were exported to the Rayyan online platform (37). Duplicate records, and records included in the 2016 review were removed before title and abstract screening. Two authors (LG and BB) independently screened included studies in a step-wise process, beginning with review of each title and abstract. Full-texts of the studies remaining after this step were retrieved and further screened against the eligibility criteria (LG and RE). Any disagreements regarding inclusion were resolved by consensus and if consensus could not be reached, disagreements were resolved by a third author (BB).

# 8 Data extraction

Adverse events reporting data were extracted from the remaining studies by two authors (LG and RL). These data included descriptive information [i.e., title, author, year of publication, country where the data was collected, journal of publication, spinal region treated (e.g., cervical spine), type of practitioner delivering the spinal manipulation (e.g., chiropractor)], whether the study reported on adverse events (i.e., reported/not and if reported; directly/indirectly), location of reporting within the article, classification of adverse events reported (e.g., mild, moderate, serious, severe), completeness of adverse events reporting (i.e., onset, duration, and number of events reported), number of participants in the spinal manipulation group/s, and descriptions of any definitions and/or classification systems used. Other data collated by the lead author (LG) included whether the study was published in a journal that follows the International Committee of Medical Journal Editors (ICMJE) guidelines via a search of the ICMJE website (38) on 29 May 2022. Additionally, the most recently published impact factor (year 2020) for each journal was manually extracted by the lead author (LG) from the Clarivate Journal Citations Reports website (39) on 29 May 2022. Assessment of risk of bias using the Cochrane ROB 2 assessment tool (40) was performed by three authors working in pairs (LG and RE, LG and BB) for all included studies to assess the methodological quality of the publication. Disagreements were resolved by consensus and if consensus could not be reached, disagreements were resolved by a third author (RL). **Data analysis** 

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Data were analysed using descriptive statistics. Frequencies and proportions of studies reporting on each of the specified domains above were calculated in Microsoft Excel (Version 2102, Microsoft Corporation, USA). Continuous variables with highly skewed distributions (i.e., journal impact factor and sample size of spinal manipulation group) were categorised into tertiles. Univariable and multivariable logistic regression models were fitted to examine the effect of potential predictors on the likelihood of studies reporting on adverse events. The multivariable logistic regression model was fitted using backward elimination, whereby the least significant potential predictors were sequentially eliminated from the multivariable model until only significant predictors remained. The observed effects from the univariable and multivariable logistic regression models were reported as odds ratios (OR) and adjusted odds ratios (aOR) respectively, with 95% confidence intervals (CI). All statistical analyses were performed using the statistical computing software R version 4.0.3 (The R Foundation for Statistical Computing, Vienna, Austria).

# **13 RESULTS**

There were 5,399 records initially identified by the electronic searches (Figure 1). A total of 3,363
unique records remained after de-duplication (n=2,034) and the removal of retracted articles (n=2).
After title and abstract screening, full texts of the 452 remaining studies were screened. Of these, 154
fulfilled the eligibility criteria and were included in the analysis (see Appendix 2). The most common
reasons for exclusion were: the intervention did not consist of HVLA spinal manipulation (n=163)

19 and/or the study related to a conference proceeding (n=49).

*Insert around here:* Figure 1: PRISMA flow diagram.

# 21 Comprehensiveness of reporting of adverse events

Of the 154 included studies, 94 (61.0%) reported on adverse events. Of these 94 studies, 36 (38.3%)
directly reported on adverse events, with studies in which spinal manipulation was delivered by a
chiropractor most frequently reporting these data (n=17; 47.2%, Table 1). Indirect reporting occurred
in 58 studies (61.7%), with studies in which spinal manipulation was delivered by a physiotherapist
being the most frequent (n=29; 50.0%, Table 1). Of the 60 studies (39.0%) that did not report on
adverse events, studies in which spinal manipulation was delivered by a physiotherapist were the most

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| 1  | frequent (n=28; 46.7%, Table 1). A description of what constituted an adverse event definition and/or     |
|----|---|
| 2  | the classification system used was provided in 22 studies (23.4%). However, most studies did not          |
| 3  | provide a description and instead used terms such as "adverse event" (n=70, 74.5%), "adverse effect"      |
| 4  | (n=22, 23.4%), "side effect" (n=19, 20.2%) and "harm" (n=11, 11.7%) without adequate explanation.         |
| 5  | When mentioned, terms pertaining to classification systems (predominantly severity) were (number of       |
| 6  | studies in which the term was used, %): "mild" (n=20, 21.3%), "moderate" (n=17, 18.1%), "serious"         |
| 7  | (n=27, 28.7%), and "severe" (n=14, 14.9%). The onset of an adverse event/s was unclear in 30              |
| 8  | (31.9%) studies. Duration of adverse events were reported heterogeneously, with some studies              |
| 9  | providing a time from either baseline or the start of intervention, whereas others provided a temporal    |
| 10 | descriptor such as "short-term", "temporary" or, "transient". Of the 9 studies providing times, durations |
| 11 | were as follows: <72hr (n=3, 3.2%), >72hr (n=2, 2.1%) or mixed duration (n=4, 4.3%). An evaluation        |
| 12 | tool was mentioned in 26 (27.7%) studies.   |
| 10 | Incent ground have Table 1: Comprehensiveness of reporting of adverse events by previder delivering       |

Insert around here: Table 1: Comprehensiveness of reporting of adverse events by provider delivering

the intervention

|                      | Directly reports on AE $(n=26)$ n $(9/)$ | Indirectly reports on $AE(n=58)$ , $n(9/2)$ | Does not report on AE $(n=60)$ n $(9/2)$ |
|----------------------|--|---|--|
|                      | (n=36), n (%)                            | AE (n=58), n (%)                            | (n=60), n (%)                            |
| Chiropractor         | 17 (47.2)                                | 12 (20.7)                                   | 7 (11.7)                                 |
| Medical Practitioner | 1 (2.8)                                  | 4 (6.9)                                     | 5 (8.3)                                  |
| Mixed                | 7 (19.4)                                 | 7 (12.1)                                    | 7 (11.7)                                 |
| Naprapath            | 0 (0.0)                                  | 0 (0.0)                                     | 1 (1.7)                                  |
| Osteopath            | 4 (11.1)                                 | 2 (3.4)                                     | 9 (15.0)                                 |
| Physiotherapist      | 6 (16.7)                                 | 29 (50.0)                                   | 28 (46.7)                                |
| Unclear              | 1 (2.8)                                  | 4 (6.9)                                     | 3 (5.0)                                  |
| AE; adverse event    |  |   |  |

AE; adverse event 

#### Number and location of adverse events reporting

No serious adverse events were reported in any of the 154 included studies, representing 7,518 participants who received spinal manipulation. Furthermore, of the 94 studies reporting on adverse events, 63 (67.0%) reported that no adverse events occurred. Adverse events were reported in the abstract of 29 (30.9%) and results section of 83 (88.3%) studies. Furthermore, adverse events were mentioned in several locations throughout the included studies: the introduction (n=15, 16.0%),

1 methods (n=56, 59.6%), discussion (n=30, 31.9%), conclusion (n=7, 7.4%), and supplementary

2 materials (n=1, 1.1%).

# **3** Descriptors of studies reporting on adverse events

4 Descriptive statistics are provided in Table 2. Of the 94 studies reporting on adverse events, 55

5 (58.5%) were rated at a 'high risk of bias', 29 (30.9%) as 'some concerns' and 10 (10.6%) at a 'low risk

6 of bias' (Appendix 3). Additionally, 33 (35.1%) were published in journals stating that they follow the

7 ICMJE recommendations. For the remaining studies, the median of the most recently published

8 (2020) impact factor was 2.5 (IQR: 2.1–4.2).

*Insert around here:* Table 2: Characteristics of included studies by reporting on adverse

10 events

|                                    | R                          | Overall<br>(n=154), n (%) | Reports on AE<br>(n=94), n (%) | Does not report<br>on AE<br>(n=60), n (%) |
|------------------------------------|----------------------------|---------------------------|--------------------------------|---|
| ICMJE<br>journal                   | Published in ICJME journal | 53 (34.4)                 | 33 (35.1)                      | 20 (33.3)                                 |
| -                                  | Low risk                   | 13 (8.4)                  | 10 (10.6)                      | 3 (5.0)                                   |
| <b>Risk of bias</b>                | Some concerns              | 47 (30.5)                 | 29 (30.9)                      | 18 (30.0)                                 |
|                                    | High risk                  | 94 (61.0)                 | 55 (58.5)                      | 39 (65.0)                                 |
| _                                  | Upper tertile              | 47 (30.5)                 | 36 (38.3)                      | 11 (18.3)                                 |
| Impact factor                      | Middle tertile             | 54 (35.1)                 | 37 (39.4)                      | 17 (28.3)                                 |
| -                                  | Lower tertile              | 53 (34.4)                 | 21 (22.3)                      | 32 (53.3)                                 |
|                                    | Cervical                   | 24 (15.6)                 | 17 (18.1)                      | 7 (11.7)                                  |
| Spinal region                      | Thoracic                   | 33 (21.4)                 | 15 (16.0)                      | 18 (30.0)                                 |
|                                    | Lumbopelvic                | 28 (18.2)                 | 13 (13.8)                      | 15 (25.0)                                 |
|                                    | Mixed/Unclear              | 69 (44.8)                 | 49 (52.1)                      | 20 (33.3)                                 |
|                                    | Chiropractor               | 36 (23.4)                 | 29 (30.9)                      | 7 (11.7)                                  |
| Type of                            | Osteopath                  | 15 (9.7)                  | 6 (6.4)                        | 9 (15.0)                                  |
| Type of<br>practitioner            | Physiotherapist            | 63 (40.9)                 | 35 (37.2)                      | 28 (46.7)                                 |
|                                    | Medical Practitioner       | 9 (5.8)                   | 4 (4.3)                        | 5 (8.3)                                   |
|                                    | Mixed/Other/Unclear        | 31 (20.1)                 | 20 (21.2)                      | 11 (18.3)                                 |
| Sample size                        | Upper tertile              | 51 (33.3)                 | 40 (42.6)                      | 11 (18.6)                                 |
| spinal                             | Middle tertile             | 50 (32.7)                 | 28 (29.8)                      | 22 (37.3)                                 |
| manipulation<br>group <sup>1</sup> | Lower tertile              | 52 (34.0)                 | 26 (27.7)                      | 26 (44.1)                                 |

<sup>1</sup> One study with unclear sample size excluded

12 AE; adverse event

# **Predictors for the reporting of adverse events**

15 There was very strong evidence that studies with an impact factor in the upper (aOR: 5.72 [95% CI:

16 2.23-15.85]; p < 0.001) and middle (aOR: 3.52 [95% CI: 1.51-8.57]; p = 0.004) tertiles were more

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likely to report on adverse events than those in the lower tertile when the model was adjusted for risk of bias, impact factor, spinal region of manipulation, and number of participants receiving spinal manipulation (Table 3). There was also strong evidence that studies in which a chiropractor delivered the spinal manipulation were more likely to report on adverse events (aOR: 4.58 [95% CI: 1.14-20.24; p = 0.036). Studies in which spinal manipulation was delivered to more than one region or, it was unclear which regions the manipulations were delivered, were also more likely to report on adverse events (aOR: 3.18 [95% CI: 1.16-9.05]; p = 0.027). While not achieving statistical significance, another factor of note included studies in which cervical spine manipulation was delivered (aOR: 3.04 [95% CI: 0.88-11.30]; p = 0.085). Insert around here: Table 3: Univariable and multivariable logistic regression 

| Variable                        | OR   | 95%CI      | p-value | aOR <sup>1</sup> | 95%CI      | p-value |
|---------------------------------|------|------------|---------|------------------|------------|---------|
| ICMJE journal                   |      |            |         |                  |            |         |
| Yes                             | 1.08 | 0.55-2.16  | 0.821   | -                | -          | -       |
| No <sup>2</sup>                 | -    | -          | -       | -                | -          | -       |
| Risk of bias                    |      |            |         |                  |            |         |
| Low risk                        | 2.36 | 0.67-11.01 | 0.213   | -                | -          | -       |
| Some concerns                   | 1.14 | 0.56-2.37  | 0.716   | -                | -          | -       |
| High risk <sup>2</sup>          | -    | _          | -       | -                | -          | -       |
| Impact factor                   |      |            | •       |                  |            |         |
| Upper tertile                   | 4.99 | 2.14-12.32 | < 0.001 | 5.72             | 2.23-15.85 | < 0.001 |
| Middle tertile                  | 3.32 | 1.52-7.48  | 0.003   | 3.52             | 1.51-8.57  | 0.004   |
| Lower tertile <sup>2</sup>      | -    | -          |         | -                | -          | -       |
| Spinal region                   |      |            |         |                  |            |         |
| Cervical                        | 2.80 | 0.91-9.27  | 0.080   | 3.04             | 0.88-11.30 | 0.085   |
| Thoracic                        | 0.96 | 0.35-2.66  | 0.939   | 1.09             | 0.34-3.45  | 0.887   |
| Lumbopelvic <sup>2</sup>        | -    | -          | -       | -                | -          | -       |
| Mixed/Unclear                   | 2.83 | 1.15-7.11  | 0.025   | 3.18             | 1.16-9.05  | 0.027   |
| Type of practitioner            |      |            |         |                  |            |         |
| Chiropractor                    | 6.21 | 1.71-24.85 | 0.007   | 4.58             | 1.14-20.24 | 0.036   |
| Osteopath <sup>2</sup>          | -    | -          | -       | -                | -          | -       |
| Physiotherapist                 | 1.88 | 0.60-6.19  | 0.282   | 1.35             | 0.37-5.18  | 0.648   |
| Medical Practitioner            | 1.20 | 0.22-6.53  | 0.831   | 0.81             | 0.12-5.47  | 0.829   |
| Mixed/Other/Unclear             | 2.72 | 0.78-10.17 | 0.121   | 2.26             | 0.57-9.64  | 0.253   |
| Sample size spinal              |      |            |         |                  |            |         |
| manipulation group <sup>3</sup> |      |            |         |                  |            |         |
| Upper tertile                   | 3.64 | 1.57-8.87  | 0.003   | -                | -          | -       |
| Middle tertile                  | 1.27 | 0.58-2.79  | 0.544   | -                | -          | -       |
| Lower tertile <sup>2</sup>      | -    | -          | -       | -                | -          | -       |

*Insert around here*. Table 5. Onivariable and multivariable logistic regression

11 <sup>1</sup> The final model was adjusted for impact factor, spinal region of manipulation, and type of practitioner, while

ICMJE journal status, risk of bias, and number of participants receiving spinal manipulation were omitted via
 backward elimination method.

58 14 <sup>2</sup> Reference group.

59 15  $^3$  One study with unclear sample size excluded.

# **DISCUSSION**

There has been a change in the reporting of adverse events associated with spinal manipulation in RCTs since 2016. Specifically, the percentage of included studies reporting adverse events has increased from 38.0% (2016 study (26)) to 61.0% (current study). However, the current review highlights that the reporting of adverse events in RCTs involving spinal manipulation as an intervention remains poor and is not consistent with established standards. Specifically, of the 154 included studies, just over half (n= 94, 61.0%) reported on adverse events. Furthermore, of these 94 studies, less than half (38.3%) reported directly on adverse events, with only 23.4% providing an explicit description of what constituted an adverse event. Further complicating this issue is the vast heterogeneity of terms (i.e., "adverse effect", "side effect", "harm" etc) used to describe adverse events. This is disappointing given that there have been many calls in the literature for the improvement of adverse events reporting in RCTs, and for the development and use of standardized definitions and classification systems (24,26,32,41–46). 

A recent scoping review explores the complexity of the current literature reporting on adverse events associated with spinal and peripheral joint manipulation and mobilisation (47). Specifically, the authors report that conflicting opinions regarding facets of adverse event definition and classification such as: symptom severity and duration, relatedness to the intervention (e.g., time to onset, treatment provided), action taken to treat the symptoms, expectedness, which profession delivered the intervention and geographical location (with possible medico-legal constraints and/or different expectations of reporting/not reporting) are all factors to reflect on when considering adverse events associated with joint manipulation and mobilisation. In an attempt to address the lack of standardized definitions and classification systems across professions that deliver spinal manipulation, the same authors have conducted an international Delphi study (manuscript in preparation; protocol paper (41)) to determine, by expert consensus, a standardised definition and severity classification for adverse events associated with spinal and peripheral joint manipulation and mobilisation. The development 

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and use of such guidelines would constitute an important step toward uniform reporting of adverse events associated with spinal manipulation across all stakeholder professions and geographical locations.

However, until this work is published, Appendix 2 of the 2004 CONSORT Harms extension (24) provides a checklist of items to include and specific examples of good reporting when reporting on harms (adverse events) in RCTs. Furthermore, it appears that an update to this guideline is emergent (25). It is hoped that these updated guidelines will ensure that authors and journal editors alike are both aware of and implement better harms reporting in the future. We strongly encourage researchers and journal editors alike to read and use the most recent CONSORT Harms checklist during all phases of study development, data collection, manuscript preparation, submission and during the review process. One important item on this checklist is that both benefits and harms should be stated in either the title and/or abstract of a manuscript. This point is salient as the abstract is the second-most read section of a scientific manuscript after the title (48). Encouragingly, the reporting of adverse events in the abstract has doubled (15.7-30.9%, 2016 to current) when compared to our previous review of the literature (26). Despite this, the current reporting on adverse events in the title/abstract of RCTs utilizing spinal manipulation remains poor, a finding that is also present in the wider published medical literature discussing adverse events (49-52). Despite an overall increase in the number of studies reporting on adverse events in RCTs involving spinal manipulation (38.0-61.0%, 2016 (26) to current), adverse events reporting in the results section has decreased (93.6% vs 88.3%) over the past 6 years and remains lower than that in the wider published literature (50,53). It is unknown why there would be a decrease in the reporting on adverse events associated with spinal manipulation in the one section of a scientific manuscript that it could reasonably be expected to be reported. Furthermore, an important source of information for the formulation of a considered evidence-based risk-benefit analysis for the use of spinal manipulation as a treatment option by both clinician and patient (49,52) is transparent data reporting on both the efficacy and adverse events occurring in RCTs involving spinal manipulation. 

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Consistent with the literature (31,32,42,43,47), there was considerable heterogeneity of nomenclature used to describe adverse events associated with spinal manipulation. Similar terms were used to indicate an adverse event in the current (compared to 2016) review: "adverse event" (2016 - 73.0%); 2022 – 74.5% of studies), "adverse effect" (23.6%; 23.4%), "side effect" (21.3%; 20.2%) and "harm" (16.4%; 11.7%). Additionally, while similar terms were used to describe classification systems previously reported (i.e., "serious", "mild", "moderate", and "severe"), these terms were rarely defined, which is consistent with the existing literature (26,52). Additionally, when present, the reporting of onset and duration of adverse events was inconsistent, again highlighting that there is an urgent need for the development of a standardized definition and classification system for the reporting of adverse events (41). Furthermore, the responsibility for improved reporting of adverse events falls not only to authors but also to custodians of clinical trial registries and journal editors to ensure that there are provisions in study protocols for the adequate capture of adverse events and also that these events are adequately reported i.e., using the most recent CONSORT Harms extension guidelines (24), alongside efficacy/effectiveness data (25,46,54). 

Manuscript reviewers and journal editors must be aware of the current best-practices for the reporting of harms (24) and enforce these guidelines during peer review processes of both protocol and end-ofstudy results papers. However, this may not be as straight-forward as it appears. Despite this, there is a need for improved reporting of adverse events in RCTs that include spinal manipulation as an intervention and a first step would be for journals to incorporate clear instructions on harms reporting in their guidelines and instructions to authors. As a second step, journal editors may facilitate this process by limiting publication to only those studies that adhere to the current guidelines for the reporting of harms in RCTs that include spinal manipulation as an intervention. Indeed, if this was to occur, authors would need to 'step-up', to use expanded methodologies, reporting and statistical analyses that allow for the capture and reporting of adverse events data in RCTs that include spinal manipulation as an intervention. Specifically, data on adverse events should be actively collected as it has been reported that passive surveillance leads to an under-reporting (25,54) and appropriate

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statistical analysis plans should be used to analyse the data (49,54,55). As a minimum standard, authors should explicitly state whether active or passive surveillance systems were used (46,49).

RCTs published in journals with a higher impact factor, in which spinal manipulation was delivered by a chiropractor and to multiple/unclear regions, were more likely to report on adverse events. While it is perhaps intuitive that better designed studies, i.e., those at a lower risk of bias, could reasonably be published in higher impact journals, this does not appear to be the case as there was no influence of risk of bias level in the final model. This disconnect between the publication of studies with better methodological quality in higher impact journals is also seen in the medical literature. Specifically, a previous study reported that there were methodological weaknesses in 184 studies published in 2015-2016 by four of the top ranked general medical journals (BMJ, JAMA, Lancet, and NEJM) (54). Furthermore, while there is no obvious reason why studies in which spinal manipulation was delivered by a chiropractor would be more likely to report on adverse events, possible reasons for this finding could include that chiropractors are more likely to deliver cervical spine manipulation in general and/or that due to perceived 'risks' of cervical spine manipulation, other professions choose not to conduct trials investigating this intervention. This hypothesis is suggested by the data which shows that while not achieving statistical significance, studies in which cervical spine manipulation was delivered had approximately 3 times greater odds of reporting on adverse events. It is possible that this result did not achieve statistical significance due to the relatively small number of studies reporting on manipulation delivered only to the cervical spine. Regarding the increased likelihood of studies reporting on adverse events if spinal manipulation was delivered to multiple/unclear regions, it is possible that this finding is spurious as there was a larger number of studies (n=49) in this category compared to studies in which the intervention was delivered to a single region. This hypothesis is supported by a secondary analysis of our previous review which reported that the region treated was not a significant predictor for reporting on adverse events (56).

Due to the methodological design of the review, we are unable to comment on the incidence of
adverse events associated with spinal manipulation. Furthermore, RCTs are not necessarily the best

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research design for collecting data on serious adverse events as they often have strict inclusion criteria and may exclude participants who are at risk of experiencing such events. Additionally, RCTs are powered to detect intervention effects and thus are likely to be underpowered for estimating the risk of serious adverse events. Despite this, the consistent reporting of the number of spinal manipulations delivered to every participant in RCTs could allow for the calculation of accurate incidence rates for all classifications of adverse events (serious included) and could eventually facilitate the pooling of data across multiple studies thus allowing for a better informed risk-benefit assessment of spinal manipulation (25,46). We acknowledge that the calculation of accurate incidence rates is not straight-forward. Indeed, factors such as the use of different spinal manipulation techniques, how to parse out adverse events attributable to different interventions (e.g. orthopaedic testing, soft tissue treatment or exercise) and how to amalgamate reports on different cohorts (e.g. neck vs. low back pain) must all be considered. While this task seems insurmountable, consistent reporting of the number of spinal manipulations delivered to every participant in RCTs is the first step towards this goal. To this end, the number of spinal manipulations delivered was only available in 75 (48.7%) of the included studies. Coupled with the implementation of standardized definitions and classification systems for adverse events associated with spinal manipulation, reporting on the number of spinal manipulations delivered in each study could allow for the inter-disciplinary calculation of incidence rates for all classifications across all healthcare professionals delivering the intervention. Such an outcome is extremely important in the context of obtaining informed consent to deliver spinal manipulation. Specifically, in many countries in which spinal manipulation is delivered, the process of obtaining informed consent requires the disclosure of all material information that a reasonable patient would require to make an informed decision about whether or not to receive that intervention (57). In the absence of accurate incidence rates for the different classifications of adverse events associated with spinal manipulation, this is a difficult task for the clinician to perform.

There are several differences between the current review and our 2016 review (26). Specifically, the
current review included an improved search strategy, including both an expansion to the number of
databases searched (i.e., MEDLINE (Ovid), Embase, CINAHL and ICL were added) in addition to the

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inclusion of several search terms that did not limit the search to spinal manipulation delivered by chiropractors and osteopaths (i.e., physiotherapists, naprapaths and medical manipulation were added). Additionally, the current review reports on analyses that we had previously reported separately in two manuscripts: the original review (26) and a secondary analysis (56). By reporting these analyses in a single manuscript, we hope it is clearer for readers to identify that the current level of reporting of adverse events associated with spinal manipulation in RCTs is both poor and not consistent with established standards, and understand the possible explanations for this observation. By streamlining the dissemination of this information, we hope to make it easier for readers to identify areas in which researchers may improve the reporting of adverse events in this field.

#### 11 Limitations

There are several limitations to this literature review. Firstly, the decision to classify the reporting of adverse events as 'direct' (explicit description of operational definition of an adverse event provided and/or how data on adverse events were measured and/or a substantial description of adverse events observed during data collection provided) as opposed to 'indirect' (no explicit reporting of such information) was arbitrary. However, this classification did not influence whether the study reported on adverse events or not. As such, we do not feel this factor had any material influence on our results. Secondly, as outlined above, small differences in the methodology between the current and previous reviews (26,56) mean that it is not possible to directly compare all reported findings between the two reviews. However, as these differences occurred due to methodological improvements in the current review, we do not believe this affected the results and/or discussion in the current review.

22 CONCLUSION

While the current level of reporting of adverse events associated with spinal manipulation in RCTs
has increased since our 2016 publication on the same topic, the level remains low and inconsistent
with established standards. As such, it is imperative for authors, journal editors and administrators of
clinical trial registries to ensure there is more balanced reporting of both benefits and harms of spinal
manipulation in RCTs. We strongly recommend that authors adhere to the most recent CONSORT

Harms checklist when reporting their results and advocate for the creation of standardized definitions
and classification systems relating to adverse events in manual therapy. This will facilitate the future
pooling of adverse events data across all professions utilizing spinal manipulation and improve the

- **5 AUTHOR CONTRIBUTIONS**
- 6 LG: conceptualization, screening, risk of bias assessment, data extraction and curation, formal
- 7 analysis, methodology, project administration, visualization, writing original draft, review & editing
- 8 RL: data extraction and curation, formal analysis, methodology, visualization, writing original draft,
- 9 review & editing
- 10 BB: screening, risk of bias assessment, writing review & editing
- 11 RE: screening, risk of bias assessment, methodology, writing review & editing

ability to calculate incidence rates for the different levels of adverse events.

#### 12 ACKNOWLEDGEMENTS

- 13 The authors would like to acknowledge Dr. Martina Gosteli for her assistance with the literature
- 14 search.

## 15 DATA SHARING STATEMENT

16 Data are available from the corresponding author upon reasonable request.

## **17 REFERENCE STRENGTHS AND LIMITATIONS OF THE**

#### **REVIEW**

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- 20 2020 statement: an updated guideline for reporting systematic reviews. BMJ. 2021 Mar
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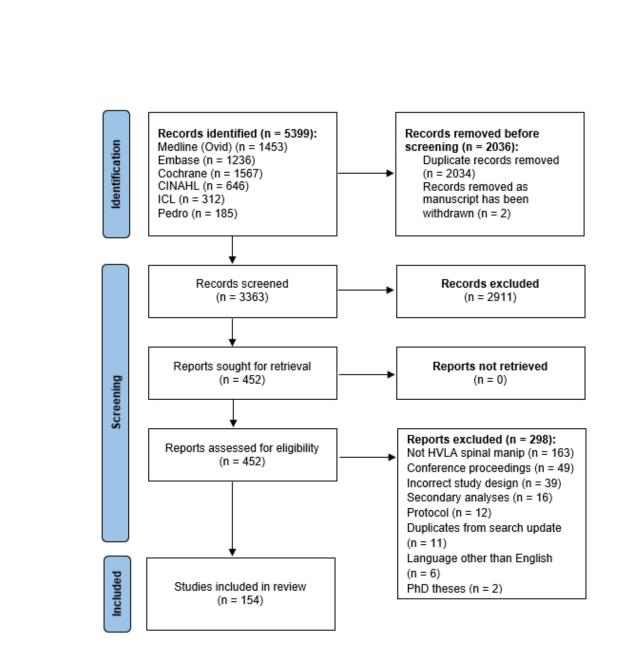


Figure 1: PRISMA flow-chart

351x381mm (38 x 38 DPI)

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## Appendix 1:

## MEDLINE (Ovid) search strategy

| Searches   |
|--|
| ((spine or spinal or medical) adj3 manip*).ti,ab,kw.   |
| (osteopath* or chiropract* or naprapath* or ((physiotherap* or (physical adj3 therap*)) and            |
| manip*)).ti,ab,kw.   |
| Manipulation, Chiropractic/ or Manipulation, Spinal/ or Musculoskeletal Manipulations/ or              |
| Manipulation, Osteopathic/   |
| 1 or 2 or 3  |
| ((randomized controlled trial or controlled clinical trial).pt. or randomized.ab. or randomised.ab. or |
| placebo.ab. or drug therapy.fs. or randomly.ab. or trial.ab. or groups.ab.) not (exp animals/ not      |
| humans.sh.)  |
| 4 and 5  |
| limit 6 to yr="2016 -Current"  |
|  |

## **CINAHL** search strategy

|            | Query  | Limiters/expanders  |
|------------|--|---|
| 1          | TI ((spine OR spinal OR medical) N3 manip*) OR AB ((spine OR spinal OR medical) N3 manip*)   | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S2         | TI (osteopath* OR chiropract* OR naprapath*) OR AB (osteopath* OR chiropract* OR naprapath*) OR TI (((physiotherap* OR (physical N3 therap*)) AND manip*) OR AB (((physiotherap* OR (physical N3 therap*)) AND manip*)   | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| <b>S</b> 3 | (MH "Manipulation, Chiropractic") OR (MH "Manipulation, Osteopathic")  | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S4         | S1 OR S2 OR S3   | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S5         | (MH randomized controlled trials OR MH double-blind studies OR MH<br>single-blind studies OR MH random assignment OR MH pretest-posttest<br>design OR MH cluster sample OR TI (randomised OR randomized) OR AB<br>(random*) OR TI (trial) OR (MH (sample size) AND AB (assigned OR<br>allocated OR control)) OR MH (placebos) OR PT (randomized controlled<br>trial) OR AB (control W5 group) OR MH (crossover design) OR MH<br>(comparative studies) OR AB (cluster W3 RCT)) NOT ((MH animals+ OR<br>MH (animal studies) OR TI (animal model*)) NOT MH (human)) | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| <b>S</b> 6 | S4 AND S5  | Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms  |
| S7         | S4 AND S5  | Limiters - Published<br>Date: 20160101-<br>Expanders - Apply<br>equivalent subjects<br>Search modes - Find<br>all my search terms |

#### **Cochrane Library search strategy**

|     | Advanced search  | Limits   |
|-----|--|--|
| #1  | ((spine OR spinal OR medical) NEAR/3 manip*):ti,ab,kw                                |  |
| #2  | MeSH descriptor: [Musculoskeletal Manipulations] this term only                      |  |
| #3  | MeSH descriptor: [Manipulation, Spinal] explode all trees                            |  |
| #4  | MeSH descriptor: [Manipulation, Chiropractic] explode all trees                      |  |
| #5  | MeSH descriptor: [Manipulation, Osteopathic] explode all trees                       |  |
| #6  | osteopath*:ti,ab,kw  |  |
| #7  | chiropract*:ti,ab,kw Limits 1160 - +   |  |
| #8  | physiotherap*:ti,ab,kw OR (physical NEAR/3 therap*):ti,ab,kw)<br>AND manip*:ti,ab,kw |  |
| #9  | naprapath*:ti,ab,kw  |  |
| #10 | #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9                                   | in Trials  |
| #11 | #1 OR #2 OR #3 OR #4 OR #5 OR #6 OR #7 OR #8 OR #9                                   | with Publication Year from 2016 to 2022, in Trials |

### Embase search strategy

|          | Query  |
|----------|--|
| #1       | ((spine OR spinal OR medical) NEAR/3 manip*):ti,ab,kw  |
| #2       | osteopath*:ti,ab,kw OR chiropract*:ti,ab,kw OR naprapath*:ti,ab,kw OR ((physiotherap*:ti,ab,kw OR ((physical NEAR/3 therap*):ti,ab,kw)) AND manip*:ti,ab,kw  |
| #3       | 'chiropractic manipulation'/de OR 'musculoskeletal manipulation'/de OR 'spine manipulation'/de OR 'osteopathic manipulation'/de  |
| #4       | #1 OR #2 OR #3   |
| #5       | ('randomized controlled trial'/de OR 'controlled clinical trial'/de OR random*:ti,ab OR<br>'randomization'/de OR 'intermethod comparison'/de OR placebo:ti,ab OR compare:ti OR compared:ti<br>OR comparison:ti OR ((evaluated:ab OR evaluate:ab OR evaluating:ab OR assessed:ab OR assess:ab)<br>AND (compare:ab OR compared:ab OR comparing:ab OR comparison:ab)) OR ((open NEAR/1<br>label):ti,ab) OR (((double OR single OR doubly OR singly) NEAR/1 (blind OR blinded OR<br>blindly)):ti,ab) OR 'double blind procedure'/de OR 'parallel group*':ti,ab OR crossover:ti,ab OR 'cross<br>over':ti,ab OR (((assign* OR match OR matched OR allocation) NEAR/5 (alternate OR group* OR<br>intervention* OR patient* OR subject* OR participant\$)):ti,ab) OR volunteer:ti,ab OR volunteers:ti,ab OR<br>'human experiment'/de OR trial:ti) NOT ((((random* NEAR/1 sampl* NEAR/7 ('cross section*' OR<br>questionnaire\$ OR survey* OR database\$)):ti,ab) NOT ('comparative study'/de OR 'controlled<br>study'/de OR 'randomized controlled':ti,ab OR 'randomised controlled':ti,ab OR 'controlled<br>clinical trial'/de OR 'controlled study'/de OR 'randomized controlled':ti,ab OR 'randomised<br>controlled':ti,ab OR 'control group\$":ti,ab)) OR ((case NEAR/1 control*) AND random*)) NOT<br>('randomized controlled':ti,ab OR 'randomised controlled':ti,ab OR 'randomised<br>controlled':ti,ab OR 'control group\$":ti,ab)) OR ((case NEAR/1 control*) AND random*)) NOT<br>('randomized controlled':ti,ab OR 'random field*':ti,ab OR (('random<br>cluster' NEAR/3 sampl*):ti,ab) OR (review:ab AND 'review':ti NOT trial:ti) OR ('we searched':ab<br>AND (review:ti OR 'neadom*:ti,ab NOT random*:ti,ab) OR 'random field*':ti,ab OR ('random<br>cluster' NEAR/3 sampl*):ti,ab) OR 'randomized controlled':ti,ab OR 'random<br>((rat:ti OR rats:ti OR mouse:ti OR mice:ti OR swine:ti OR porcine:ti OR murine:ti OR sheep:ti OR<br>lambs:ti OR pigs:ti OR piglets:ti OR rabbit:ti OR rabbits:ti OR cats:ti OR dog:ti OR dog:ti<br>OR cattle:ti OR bovine:ti OR monkey:ti OR monkeys:ti OR trout:ti OR marmoset\$:ti) AND 'animal |
| ЩС       | experiment/de) OR ('animal experiment'/de NOT ('human experiment'/de OR 'human'/de)))  |
| #6<br>#7 | #4 AND #5<br>#4 AND #5 AND [conference electrost]/lim  |
| #7<br>#8 | #4 AND #5 AND [conference abstract]/lim<br>#4 AND #5 NOT [conference abstract]/lim   |
| #8<br>#9 | #4 AND #5 NOT [conference abstract]/lim<br>#4 AND #5 NOT [conference abstract]/lim AND [2016-2022]/py  |
| #9       | #4 AND #5 NOT [conference abstract]/IIII AND [2010-2022]/py  |

## ICL search strategy

|            | Query   |
|------------|---|
| <b>S</b> 1 | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR Subject:\"Manipulation, Osteopathic\"   |
| S2         | All Fields:spine OR All Fields:spinal OR All Fields:physiotherap*   |
| <b>S</b> 3 | All Fields:\"physical therapy\" OR All Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All Fields:spinal   |
| S4         | All Fields:manip*   |
| S5         | All Fields:spine OR All Fields:spinal OR All Fields:hysiotherap* OR All Fields:\"physical therapy\" OR All Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All Fields:spinal   |
| S6         | All Fields:manip* AND All Fields:spine OR All Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All Fields:\"physical therapist\" OR All Fields:\"physical therapist\" OR All Fields:spine OR All Fields:spinal   |
| S7         | All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*  |
| S8         | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR<br>Subject:\"Manipulation, Osteopathic\" OR All Fields:manip* AND All Fields:spine OR All<br>Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All<br>Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All<br>Fields:spinal OR All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*   |
| S9         | All Fields:random* OR All Fields:placebo OR All Fields:trial OR All Fields:groups OR All Fields:rct   |
| S10        | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR<br>Subject:\"Manipulation, Osteopathic\" OR All Fields:manip* AND All Fields:spine OR All<br>Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All<br>Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All<br>Fields:spinal OR All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*<br>AND All Fields:random* OR All Fields:placebo OR All Fields:trial OR All Fields:groups OR<br>All Fields:rct                               |
| S11        | , Year: from 2016 to 2022   |
| S12        | Subject:\"Manipulation, Chiropractic\" OR Subject:\"Manipulation, Spinal\" OR<br>Subject:\"Manipulation, Osteopathic\" OR All Fields:manip* AND All Fields:spine OR All<br>Fields:spinal OR All Fields:physiotherap* OR All Fields:\"physical therapy\" OR All<br>Fields:\"physical therapist\" OR All Fields:\"physical therapists\" OR All Fields:spine OR All<br>Fields:spinal OR All Fields:osteopath* OR All Fields:chiropract* OR All Fields:naprapath*<br>AND All Fields:random* OR All Fields:placebo OR All Fields:trial OR All Fields:groups OR<br>All Fields:rct AND , Year: from 2016 to 2022 |

# **PEDro search strategy**

|            | Search records added since 01/01/2016 |
|------------|---------------------------------------|
| <b>S</b> 1 | spin* AND manip* AND RCT              |
| <b>S</b> 2 | spin* AND manip* AND trial            |
| S3         | spin* AND manip* AND random*          |
| S4         | totally selected                      |

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### Appendix 2: Included studies reference list

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| Author, year (reference)                       | Overall risk of bias assessment |
|--|---------------------------------|
| Albers et al, 2018 <sup>(1)</sup>              | Some concerns                   |
| Alonso-Perez et al, 2017 <sup>(2)</sup>        | Low risk                        |
| Alvarenga et al, 2018 <sup>(3)</sup>           | Some concerns                   |
| Aspinall et al, 2019 <sup>(4)</sup>            | Low risk                        |
| Balbás-Álvarez et al, 2018 <sup>(5)</sup>      | Low risk                        |
| Bautista-Aguirre et al, 2017 <sup>(6)</sup>    | Some concerns                   |
| Behrangrad & Kamali, 2017 (7)                  | High risk                       |
| Bernal-Utrera et al, 2020 <sup>(8)</sup>       | High risk                       |
| Fernandes et al, 2016 <sup>(9)</sup>           | High risk                       |
| Boff et al, 2020 <sup>(10)</sup>               | High risk                       |
| Bond et al, 2020 (11)                          | High risk                       |
| Bracht et al, 2018 (12)                        | Some concerns                   |
| Bronfort et al, 2022 <sup>(13)</sup>           | High risk                       |
| Brück et al, 2021 <sup>(14)</sup>              | Some concerns                   |
| Cambron et al, 2017 <sup>(15)</sup>            | High risk                       |
| Carrasco-Martínez et al, 2019 <sup>(16)</sup>  | High risk                       |
| Carrasco-Uribarren et al, 2021 <sup>(17)</sup> | High risk                       |
| Castello Branco & Moodley, 2016 (18)           | High risk                       |
| Castro-Sanchez et al, 2016 <sup>(19)</sup>     | Low risk                        |
| Castro-Sanchez et al, 2021 <sup>(20)</sup>     | Low risk                        |
| Chaibi et al, 2017 (21)                        | High risk                       |
| Cholewicki et al, 2021 (22)                    | High risk                       |
| Corum et al, 2021 <sup>(23)</sup>              | High risk                       |
| Coste et al, 2021 <sup>(24)</sup>              | High risk                       |
| Crothers et al, 2016 <sup>(25)</sup>           | High risk                       |
| de Oliveira et al, 2020 <sup>(26)</sup>        | Some concerns                   |
| DeVocht et al, 2019 <sup>(27)</sup>            | Low risk                        |
| Didehdar et al, 2020 <sup>(28)</sup>           | High risk                       |
| Dishman et al, 2018 <sup>(29)</sup>            | High risk                       |
| Dissing et al, 2018 (30)                       | Low risk                        |
| Ditcharles et al, 2017 <sup>(31)</sup>         | Some concerns                   |
| Dorron et al, 2016 (32)                        | Some concerns                   |
| Dunning et al, 2016 (33)                       | Low risk                        |
| Dunning et al, 2021 (34)                       | Some concerns                   |
| Dunning et al, 2021 <sup>(35)</sup>            | Some concerns                   |
| Eklund et al, 2018 <sup>(36)</sup>             | Low risk                        |
| `  |                                 |

#### Appendix 3: Risk of bias assessment of included studies

Engel et al, 2016 (37)

High risk

| Erdem et al, 2021 <sup>(38)</sup>                   | Some concerns |
|---|---------------|
| Espi-López et al, 2016 <sup>(39)</sup>              | High risk     |
| Espi-López et al, 2018 (40)                         | High risk     |
| Espi-López et al, 2016 <sup>(41)</sup>              | Some concerns |
| Espi-López et al, 2016 <sup>(42)</sup>              | High risk     |
| Evans et al, 2018 (43)                              | High risk     |
| Fagundes Loss et al, 2020 (44)                      | Some concerns |
| Farazdaghi et al, 2018 (45)                         | Low risk      |
| Fisher et al, 2020 (46)                             | High risk     |
| Ford et al, 2019 <sup>(47)</sup>                    | High risk     |
| Fosberg et al, 2020 <sup>(48)</sup>                 | Low risk      |
| Fraix et al, 2021 <sup>(49)</sup>                   | High risk     |
| Fritz et al, 2021 (50)                              | High risk     |
| Fritz et al, 2021 (51)                              | High risk     |
| Galindez-Ibarbengoetxea et al, 2018 <sup>(52)</sup> | High risk     |
| Galindez-Ibarbengoetxea et al, 2017 <sup>(53)</sup> | High risk     |
| Galindez-Ibarbengoetxea et al, 2018 <sup>(54)</sup> | High risk     |
| Garcia-Perez-Juana et al, 2018 (55)                 | High risk     |
| Gattie et al, 2021 (56)                             | Some concerns |
| Gesslbauer et al, 2018 <sup>(57)</sup>              | High risk     |
| Ghasabmahaleh et al, 2021 <sup>(58)</sup>           | High risk     |
| Goertz et al, 2017 <sup>(59)</sup>                  | High risk     |
| Goertz et al, 2016 (60)                             | High risk     |
| Goertz et al, 2016 (61)                             | High risk     |
| Gomez et al, 2020 (62)                              | Some concerns |
| Gorrell et al, 2016 <sup>(63)</sup>                 | Some concerns |
| Grimes et al, 2019 (64)                             | Some concerns |
| Griswold et al, 2018 <sup>(65)</sup>                | Some concerns |
| Groisman et al, 2020 (66)                           | Some concerns |
| Haas et al, 2018 (67)                               | Some concerns |
| Haider et al, 2018 (68)                             | High risk     |
| Haik et al, 2017 (69)                               | High risk     |
| Haleema et al, 2021 <sup>(70)</sup>                 | High risk     |
| Hanney et al, 2017 (71)                             | High risk     |
| Hardas & Murrell, 2018 (72)                         | Some concerns |
| Harihara Prakash et al, 2020 <sup>(73)</sup>        | High risk     |
| Hartstein et al, 2018 <sup>(74)</sup>               | High risk     |
| Holt et al, 2021 <sup>(75)</sup>                    | High risk     |
| Holt et al, 2016 (76)                               | High risk     |

| Javadov et al, 2021 <sup>(77)</sup>         | High risk     |
|---|---------------|
| Joo et al, 2018 (78)                        | High risk     |
| Jordon et al, 2017 (79)                     | High risk     |
| Joshi et al, 2020 (80)                      | High risk     |
| Kachmar et al, 2018 <sup>(81)</sup>         | Some concerns |
| Kamali et al, 2019 (82)                     | Low risk      |
| Karas et al, 2018 <sup>(83)</sup>           | High risk     |
| Kendall et al, 2018 <sup>(84)</sup>         | High risk     |
| Laframboise et al, 2016 (85)                | High risk     |
| Langenfeld et al, 2018 <sup>(86)</sup>      | Some concerns |
| Lee & Kim, 2016 <sup>(87)</sup>             | High risk     |
| Lim et al, 2019 <sup>(88)</sup>             | High risk     |
| Lisi et al, 2019 <sup>(89)</sup>            | High risk     |
| Lohman et al, 2019 (90)                     | High risk     |
| Lopez-de-Uralde-Villanueva et al, 2020 (91) | High risk     |
| Lopez-de-Uralde-Villanueva et al, 2018 (92) | Some concerns |
| Lorenzo et al, 2019 <sup>(93)</sup>         | High risk     |
| Luceno-Mardones et al, 2021 (94)            | High risk     |
| Lynen et al, 2022 <sup>(95)</sup>           | High risk     |
| Lynge et al, 2021 <sup>(96)</sup>           | Some concerns |
| Maiers et al, 2019 (97)                     | Some concerns |
| Marske et al, 2018 <sup>(98)</sup>          | High risk     |
| McCarthy et al, 2019 <sup>(99)</sup>        | High risk     |
| Minarini et al, 2018 (100)                  | High risk     |
| Mintken et al, 2016 (101)                   | High risk     |
| Moodley & Craig, 2020 (102)                 | High risk     |
| Motealleh et al, 2020 (103)                 | High risk     |
| Motealleh et al, 2016 <sup>(104)</sup>      | High risk     |
| Moustafa et al, 2016 <sup>(105)</sup>       | High risk     |
| Munoz-Gomez et al, 2021 (106)               | Some concerns |
| Nambi et al, 2018 (107)                     | Some concerns |
| Nejati et al, 2019 (108)                    | Some concerns |
| Nogueira et al, 2020 <sup>(109)</sup>       | Some concerns |
| Paanalahti et al, 2016 <sup>(110)</sup>     | High risk     |
| Page & Descarreaux, 2019 (111)              | High risk     |
| Papa et al, 2017 (112)                      | High risk     |
| Paredes et al, 2020 (113)                   | High risk     |
| Pascual-Vaca et al, 2017 (114)              | High risk     |
| Passmore et al, 2019 <sup>(115)</sup>       | High risk     |

| Penza et al, 2017 (116)                | Some concerns |
|--|---------------|
| Petrozzi et al, 2019 (117)             | Low risk      |
| Qu et al, 2016 (118)                   | High risk     |
| Qu et al, 2018 (119)                   | Some concerns |
| Reynolds et al, 2020 (120)             | High risk     |
| Rist et al, 2021 (121)                 | High risk     |
| Rodrigues et al, 2021 (122)            | High risk     |
| Rodriguez-Sanz et al, 2020 (123)       | High risk     |
| Rodriguez-Sanz et al, 2021 (124)       | Some concerns |
| Romero Del Rey et al, 2022 (125)       | Some concerns |
| Rose et al, 2017 (126)                 | High risk     |
| Sampath et al, 2017 (127)              | High risk     |
| Sarker et al, 2019 (128)               | Some concerns |
| Schulz et al, 2019 (129)               | Some concerns |
| Shin & Lee, 2016 (130)                 | Some concerns |
| Silva et al, 2019 (131)                | Some concerns |
| Simoni et al, 2021 <sup>(132)</sup>    | High risk     |
| Soal et al, 2019 (133)                 | High risk     |
| Sparks et al, 2017 (134)               | Some concerns |
| Stepnik et al, 2020 (135)              | High risk     |
| Sueki et al, 2020 (136)                | High risk     |
| Telles et al, 2021 (137)               | Some concerns |
| Thomas et al, 2020 (138)               | High risk     |
| Vaden et al, 2020 (139)                | High risk     |
| Valenzuela et al, 2019 (140)           | Some concerns |
| Valera-Calero et al, 2019 (141)        | Some concerns |
| Vilas Boas Fernandes et al, 2016 (142) | Some concerns |
| Vining et al, 2020 (143)               | Some concerns |
| Vinuesa-Montoya et al, 2017 (144)      | Some concerns |
| Wang et al, 2019 (145)                 | High risk     |
| Wang et al, 2020 (146)                 | High risk     |
| Ward et al, 2018 (147)                 | High risk     |
| Wright et al, 2017 (148)               | Some concerns |
| Xia et al, 2016 (149)                  | High risk     |
| Yao et al, 2020 (150)                  | High risk     |
| Younes et al, 2017 (151)               | High risk     |
| Young et al, 2019 (152)                | High risk     |
| Zafereo et al, 2018 (153)              | Some concerns |
| Zago et al, 2021 (154)                 | High risk     |

## PRISMA 2020 Checklist

| <sup>3</sup> Sec<br>4 Top | ction and<br>pic             | ltem<br># | Checklist item   | Location<br>where item<br>is reported |
|---------------------------|------------------------------|-----------|--|---------------------------------------|
|                           | LE                           |           |  |                                       |
| 7 Title                   | e                            | 1         | Identify the report as a systematic review.  | P1                                    |
| B ABS                     | STRACT                       |           |  |                                       |
| 9 Abs                     | stract                       | 2         | See the PRISMA 2020 for Abstracts checklist.   | P2-3                                  |
|                           | RODUCTION                    |           |  |                                       |
| Rati                      | tionale                      | 3         | Describe the rationale for the review in the context of existing knowledge.  | P5                                    |
| ¦ 🖁 Obje                  | jectives                     | 4         | Provide an explicit statement of the objective(s) or question(s) the review addresses.   | P5                                    |
|                           | THODS                        |           |  |                                       |
| 15 Elig                   | gibility criteria            | 5         | Specify the inclusion and exclusion criteria for the review and how studies were grouped for the syntheses.  | P6-8                                  |
| -                         | ormation<br>urces            | 6         | Specify all databases, registers, websites, organisations, reference lists and other sources searched or consulted to identify studies. Specify the date when each source was last searched or consulted.  | P7                                    |
| 18 Sea                    | arch strategy                | 7         | Present the full search strategies for all databases, registers and websites, including any filters and limits used.   | Appendix1                             |
| 20                        | ection process               | 8         | Specify the methods used to decide whether a study met the inclusion criteria of the review, including how many reviewers screened each record and each report retrieved, whether they worked independently, and if applicable, details of automation tools used in the process.                     | P7-8                                  |
| //                        | ta collection<br>cess        | 9         | Specify the methods used to collect data from reports, including how many reviewers collected data from each report, whether they worked independently, any processes for obtaining or confirming data from study investigators, and if applicable, details of automation tools used in the process. | P7-8                                  |
| 24<br>25<br>26            | ta items                     | 10a       | List and define all outcomes for which data were sought. Specify whether all results that were compatible with each outcome domain in each study were sought (e.g. for all measures, time points, analyses), and if not, the methods used to decide which results to collect.                        | P8                                    |
| 20<br>27                  |                              | 10b       | List and define all other variables for which data were sought (e.g. participant and intervention characteristics, funding sources). Describe any assumptions made about any missing or unclear information.   | P8                                    |
|                           | idy risk of bias<br>sessment | 11        | Specify the methods used to assess risk of bias in the included studies, including details of the tool(s) used, how many reviewers assessed each study and whether they worked independently, and if applicable, details of automation tools used in the process.                                    | P8                                    |
| 3 Effe                    | ect measures                 | 12        | Specify for each outcome the effect measure(s) (e.g. risk ratio, mean difference) used in the synthesis or presentation of results.  | P8                                    |
| 32 Synth<br>33 metho      | nthesis<br>thods             | 13a       | Describe the processes used to decide which studies were eligible for each synthesis (e.g. tabulating the study intervention characteristics and comparing against the planned groups for each synthesis (item #5)).   | P8                                    |
| 34<br>35                  |                              | 13b       | Describe any methods required to prepare the data for presentation or synthesis, such as handling of missing summary statistics, or data conversions.  | P8                                    |
| 36                        | 5                            | 13c       | Describe any methods used to tabulate or visually display results of individual studies and syntheses.   | P8                                    |
| 37<br>38                  |                              | 13d       | Describe any methods used to synthesize results and provide a rationale for the choice(s). If meta-analysis was performed, describe the model(s), method(s) to identify the presence and extent of statistical heterogeneity, and software package(s) used.  | P8                                    |
| 39<br>10                  | -                            | 13e       | Describe any methods used to explore possible causes of heterogeneity among study results (e.g. subgroup analysis, meta-regression).   |                                       |
| 40<br>41                  |                              | 13f       | Describe any sensitivity analyses conducted to assess robustness of the synthesized results.   |                                       |
| 12 Rep                    | porting bias<br>sessment     | 14        | Describe any methods used to assess risk of bias due to missing results in a synthesis (arising from reporting biases).  | P8                                    |
| <sup>14</sup> Cert        | rtainty<br>sessment          | 15        | Describe any methods used to assess certainty (or confidence) in the body of evidence for an outcome.<br>For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml   | P8                                    |

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#### **PRISMA 2020 Checklist**

| Section and<br>Topic                                 | ltem<br># | Checklist item   | Location<br>where item<br>is reported |
|--|-----------|--|---------------------------------------|
| RESULTS  | 1         |  |                                       |
| Study selection                                      | 16a       | Describe the results of the search and selection process, from the number of records identified in the search to the number of studies included in the review, ideally using a flow diagram.   | P8-9                                  |
|  | 16b       | Cite studies that might appear to meet the inclusion criteria, but which were excluded, and explain why they were excluded.  | P8                                    |
| Study<br>characteristics                             | 17        | Cite each included study and present its characteristics.  | Appendix2                             |
| Risk of bias in studies                              | 18        | Present assessments of risk of bias for each included study.   | Appendix                              |
| Results of individual studies                        | 19        | For all outcomes, present, for each study: (a) summary statistics for each group (where appropriate) and (b) an effect estimate and its precision (e.g. confidence/credible interval), ideally using structured tables or plots.   | P9-12                                 |
| Results of   | 20a       | For each synthesis, briefly summarise the characteristics and risk of bias among contributing studies.   | P9-12                                 |
| syntheses  | 20b       | Present results of all statistical syntheses conducted. If meta-analysis was done, present for each the summary estimate and its precision (e.g. confidence/credible interval) and measures of statistical heterogeneity. If comparing groups, describe the direction of the effect. | P9-12                                 |
| )  | 20c       | Present results of all investigations of possible causes of heterogeneity among study results.   |                                       |
|  | 20d       | Present results of all sensitivity analyses conducted to assess the robustness of the synthesized results.   |                                       |
| Reporting biases                                     | 21        | Present assessments of risk of bias due to missing results (arising from reporting biases) for each synthesis assessed.  |                                       |
| Certainty of evidence                                | 22        | Present assessments of certainty (or confidence) in the body of evidence for each outcome assessed.  |                                       |
| DISCUSSION   | 1         |  |                                       |
| Discussion   | 23a       | Provide a general interpretation of the results in the context of other evidence.  | P12-16                                |
| 8  | 23b       | Discuss any limitations of the evidence included in the review.  | P12-16                                |
|  | 23c       | Discuss any limitations of the review processes used.  | P16                                   |
| )  | 23d       | Discuss implications of the results for practice, policy, and future research.   | P16-17                                |
| OTHER INFORMA  | TION      |  | Do                                    |
| Registration and                                     | 24a       | Provide registration information for the review, including register name and registration number, or state that the review was not registered.   | P3                                    |
| protocol<br>5  | 24b       | Indicate where the review protocol can be accessed, or state that a protocol was not prepared.   | P3                                    |
|  | 24c       | Describe and explain any amendments to information provided at registration or in the protocol.  | P3                                    |
| Support  | 25        | Describe sources of financial or non-financial support for the review, and the role of the funders or sponsors in the review.  | P3                                    |
| Competing<br>interests                               | 26        | Declare any competing interests of review authors.   | P4                                    |
| Availability of<br>data, code and<br>other materials | 27        | Report which of the following are publicly available and where they can be found: template data collection forms; data extracted from included studies; data used for all analyses; analytic code; any other materials used in the review.   |                                       |

44 From: Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. BMJ 2021;372:n71. doi: 45 10.1136/bmj.n71 For peer review only - http://bmjopen.bmj.com/site/about/guidelines.xhtml For more information, visit: <u>http://www.prisma-statement.org/</u>